

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

Clough Global Allocation Fund
Form NSAR-A
June 29, 2015

PAGE 1
000 A000000 04/30/2015
000 C000000 0001288795
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 CLOUGH GLOBAL ALLOCATION FUND
001 B000000 811-21583
001 C000000 8772568445
002 A000000 1290 BROADWAY, SUITE 1100
002 B000000 DENVER
002 C000000 CO
002 D010000 80203
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 CLOUGH CAPITAL PARTNERS
008 B000001 A
008 C000001 801-63142
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 ALPS FUND SERVICES, INC.
010 B000001 84-5730
010 C010001 DENVER
010 C020001 CO
010 C030001 80203
011 A000001 MERRILL LYNCH
011 B000001 8-0114235
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10080
012 A000001 DST Systems, Inc.
012 B000001 84-1963
012 C010001 Kansas City
012 C020001 MO
012 C030001 64105
013 A000001 COHEN FUND AUDIT SERVICES, LTD.
013 B010001 WESTLAKE
013 B020001 OH
013 B030001 44145
015 A000001 Deutsche Bank AG
015 B000001 S
015 C010001 Eschborn
015 D010001 Germany
PAGE 2
015 E040001 X
015 A000002 Deutsche Bank AG
015 B000002 S
015 C010002 Kuala Lumpur
015 D010002 Malaysia
015 D020002 50250

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 E040002 X
015 A000003 HSBC Shanghai
015 B000003 S
015 C010003 Shanghai
015 D010003 China
015 D020003 200120
015 E040003 X
015 A000004 Deutsche Bank AG
015 B000004 S
015 C010004 Milan
015 D010004 Italy
015 D020004 20121
015 E040004 X
015 A000005 Royal Bank of Canada
015 B000005 S
015 C010005 Toronto
015 D010005 ON Canada
015 D020005 M5V 3L3
015 E040005 X
015 A000006 Citibank N.A., Brazilian Branch
015 B000006 S
015 C010006 Sao Paulo
015 D010006 Brazil
015 D020006 01311
015 E040006 X
015 A000007 Citibank N.A.
015 B000007 S
015 C010007 Singapore
015 D010007 Singapore
015 D020007 486026
015 E040007 X
015 A000008 Deutsche Bank Sociedad Anonima ESPA
015 B000008 S
015 C010008 Madrid
015 D010008 Spain
015 D020008 28020
015 E040008 X
015 A000009 Deutsche Bank AG
015 B000009 S
015 C010009 Seoul
015 D010009 Korea
015 D020009 110-752
015 E040009 X
015 A000010 Deutsche Bank AG
015 B000010 S
PAGE 3
015 C010010 Moscow
015 D010010 Russia
015 D020010 115035
015 E040010 X
015 A000011 Standard Chartered Bank (Thailand) PLC
015 B000011 S
015 C010011 Bangkok
015 D010011 Thailand
015 D020011 10500
015 E040011 X
015 A000012 BNP Paribas Securities Services
015 B000012 S
015 C010012 Athens
015 D010012 Greece
015 E040012 X
015 A000013 Deutsche Bank AG

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 B000013 S
015 C010013 Jakarta
015 D010013 Indonesia
015 D020013 10310
015 E040013 X
015 A000014 Standard Chartered Bank (Taiwan) Limited
015 B000014 S
015 C010014 Taipei
015 D010014 Taiwan
015 E040014 X
015 A000015 Standard Chartered Bank (Hong Kong) Limited
015 B000015 S
015 C010015 Kwun Tong
015 D010015 Hong Kong
015 E040015 X
015 A000016 Firststrand Bank Limited
015 B000016 S
015 C010016 Johannesburg
015 D010016 South Africa
015 E040016 X
015 A000017 Hongkong & Shanghai Banking Corporation Ltd.
015 B000017 S
015 C010017 Auckland
015 D010017 New Zealand
015 D020017 1010
015 E040017 X
015 A000018 Deutsche Bank AG
015 B000018 S
015 C010018 Makati City
015 D010018 Phillipines
015 D020018 1226
015 E040018 X
015 A000019 Nordea Bank AB
015 B000019 S
015 C010019 Stockholm
PAGE 4
015 D010019 Sweden
015 E040019 X
015 A000020 Deutsche Bank AG
015 B000020 S
015 C010020 Amsterdam
015 D010020 The Netherlands
015 E040020 X
015 A000021 State Street Bank and Trust Company
015 B000021 S
015 C010021 Edinburgh
015 D010021 Scotland
015 D020021 EH5 2AW
015 E040021 X
015 A000022 Credit Suisse AG
015 B000022 S
015 C010022 Zurich
015 D010022 Switzerland
015 D020022 8070
015 E040022 X
015 A000023 Nordea Bank Finland PLC
015 B000023 S
015 C010023 Helsinki
015 D010023 Finland
015 E040023 X
015 A000024 HSBC Sydney
015 B000024 S

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 C010024 Sydney
015 D010024 Australia
015 E040024 X
015 A000025 The Hongkong and Shanghai Banking Corporation
015 B000025 S
015 C010025 Tokyo
015 D010025 Japan
015 D020025 1030027
015 E040025 X
015 A000026 Nordea Bank Norge ASA
015 B000026 S
015 C010026 Oslo
015 D010026 Norway
015 E040026 X
015 A000027 Citibank NA Mexico
015 B000027 S
015 C010027 Santa Fe
015 D010027 Mexico
015 E040027 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MERRILL LYNCH & CO., INC.
020 B000001 13-2740599
020 C000001 51
PAGE 5
020 A000002 MORGAN STANLEY & CO., INC.
020 B000002 13-2655998
020 C000002 46
020 A000003 BARCLAYS CAPITAL, INC.
020 B000003 06-1031656
020 C000003 35
020 A000004 CITIGROUP GLOBAL MARKETS, INC.
020 B000004 11-2418191
020 C000004 23
020 A000005 DEUTSCHE BANK SECURITIES, INC.
020 B000005 13-2730828
020 C000005 21
020 A000006 UBS FINANCIAL SERVICES, INC.
020 B000006 13-2638166
020 C000006 20
020 A000007 STATE STREET GLOBAL MARKETS, LLC
020 B000007 04-3464336
020 C000007 20
020 A000008 JP MORGAN CHASE & CO.
020 B000008 13-2624428
020 C000008 19
020 A000009 CREDIT SUISSE SECURITIES (USA) LLC
020 B000009 05-0546650
020 C000009 17
020 A000010 STERNE, AGEE & LEACH, INC.
020 B000010 63-0463600
020 C000010 15
021 000000 497
022 A000001 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUNDS
022 C000001 96507
022 D000001 91227
022 A000002 LEGG MASON WOOD WALKER, INC.
022 B000002 52-0902557
022 C000002 22573
022 D000002 25461
022 A000003 MORGAN STANLEY & CO., INC.

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

022 B000003 13-2655998
022 C000003 4513
022 D000003 10536
022 A000004 NOMURA SECURITIES INTERNATIONAL, INC.
022 B000004 13-2642206
022 C000004 2050
022 D000004 6855
022 A000005 CREDIT SUISSE SECURITIES (USA) LLC
022 B000005 05-0546650
022 C000005 5081
022 D000005 2311
022 A000006 CANTOR FITZGERALD & CO.
022 B000006 13-3680184
022 C000006 3353
022 D000006 1854
PAGE 6
022 A000007 GOLDMAN SACHS & CO.
022 B000007 13-5108880
022 C000007 2452
022 D000007 1853
022 A000008 PIPER JAFFRAY & CO.
022 B000008 41-0953246
022 C000008 899
022 D000008 2080
022 A000009 WACHOVIA CAPITAL MARKETS, INC.
022 B000009 56-2326000
022 C000009 500
022 D000009 0
022 A000010 RBC CAPITAL MARKETS CORP.
022 B000010 13-5033225
022 C000010 396
022 D000010 0
023 C000000 138723
023 D000000 142176
024 000000 Y
025 A000001 JP MORGAN CHASE & CO.
025 B000001 13-2624428
025 C000001 E
025 D000001 202
025 A000002 GOLDMAN SACHS & CO.
025 B000002 13-5108880
025 C000002 D
025 D000002 1450
025 A000003 CITIGROUP GLOBAL MARKETS, INC.
025 B000003 11-2418191
025 C000003 D
025 D000003 1394
025 A000004 MORGAN STANLEY & CO., INC.
025 B000004 13-2655998
025 C000004 E
025 D000004 3406
025 A000005 CITIGROUP GLOBAL MARKETS, INC.
025 B000005 11-2418191
025 C000005 E
025 D000005 6363
025 A000006 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUND
025 C000006 D
025 D000006 5281
025 A000007 BANK OF AMERICA CORPORATION
025 B000007 94-1687665
025 C000007 E
025 D000007 5755

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

025 A000008 BANK OF AMERICA CORPORATION
025 B000008 94-1687665
025 C000008 D
025 D000008 1227
026 A000000 N

PAGE 7

026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 Y
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.700
048 A010000 0
048 A020000 0.000
048 B010000 0
048 B020000 0.000
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 0
048 K020000 0.000

049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 Y
054 E000000 Y
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 Y

PAGE 8

054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

055 A000000 Y
055 B000000 Y
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
PAGE 9
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	Y	
070	O010000	Y	
070	O020000	Y	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	Y	
071	A000000		193672
071	B000000		198397
071	C000000		253269
071	D000000		76
072	A000000		6
072	B000000		524
072	C000000		1444
072	D000000		0
072	E000000		25
072	F000000		1243
072	G000000		506
072	H000000		0
072	I000000		0
072	J000000		0
072	K000000		0
072	L000000		0
072	M000000		85
072	N000000		0
072	O000000		0
072	P000000		625
072	Q000000		0
072	R000000		0
072	S000000		71
072	T000000		0
	PAGE	10	
072	U000000		0
072	V000000		0
072	W000000		820
072	X000000		3350
072	Y000000		0
072	Z000000		-1357
072AA	000000		4308
072BB	000000		0
072CC	010000		4621
072CC	020000		0
072DD	010000		7200
072DD	020000		0
072EE	000000		0
073	A010000		0.6900
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		1483
074	B000000		0
074	C000000		0
074	D000000		26926
074	E000000		0
074	F000000		215511
074	G000000		0

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

074	H000000	0
074	I000000	19752
074	J000000	13879
074	K000000	0
074	L000000	415
074	M000000	102543
074	N000000	380509
074	O000000	14466
074	P000000	304
074	Q000000	0
074	R010000	0
074	R020000	94583
074	R030000	0
074	R040000	93815
074	S000000	0
074	T000000	177341
074	U010000	10435
074	U020000	0
074	V010000	17.00
074	V020000	0.00
074	W000000	0.0000
074	X000000	7
074	Y000000	181273
075	A000000	0
075	B000000	178367
076	000000	15.05

077 A000000 N

PAGE 11

078 000000 N

080 C000000 0

081 B000000 0

082 B000000 0

086 A010000 0

086 A020000 0

086 B010000 0

086 B020000 0

086 C010000 0

086 C020000 0

086 D010000 0

086 D020000 0

086 E010000 0

086 E020000 0

086 F010000 0

086 F020000 0

087 A010000 COMMON STOCK

087 A020000 18913Y103

087 A030000 GLV

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE Jill Kerschen

TITLE Assistant Treasurer