MAZZO JAMES V Form 4

November 10, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MAZZO JAMES V

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol ADVANCED MEDICAL OPTICS

3. Date of Earliest Transaction

(Check all applicable)

INC [EYE]

(Last) (First) (Middle)

(Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

11/09/2005

below) President and CEO

C/O ADVANCED MEDICAL OPTICS, INC., 1700 E. ST. ANDREW PLACE

(Street)

(State)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SANTA ANA, CA 92705

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Transactionor Disposed Code (Instr. 3, 4 at (Instr. 8)			(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/09/2005		M(1)	70,997 (1)	(D)	\$ 5.7112	84,270	I	By Family Trust
Common Stock	11/09/2005		S <u>(1)</u>	38,778 (1)	D	\$ 40.0317	45,492	I	By Family Trust
Common Stock							3,816.1362 (2)	D	
Common Stock							1,488.2481	I	By 401(k) Trust (as

of

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			10/31/05)
Common Stock	16	I	By minor child
Common Stock	7.6924	I	By Spouse $\underline{{}^{(3)}}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		ve Expiration Date s (Month/Day/Year) I (A) sed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	and 5)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Employee Stock Option (Right to	\$ 5.7112	11/09/2005		M <u>(1)</u>	70	),997	01/25/2003	01/25/2006	Common Stock	70,997

## **Reporting Owners**

James V. Mazzo

\*\*Signature of

Reporting Person

Buy)

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
MAZZO JAMES V C/O ADVANCED MEDICAL OPTICS, INC. 1700 E. ST. ANDREW PLACE SANTA ANA, CA 92705	X		President and CEO				
Signatures							

11/10/2005

Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form 4 reports transactions effected pursuant to a Rule 10b5-1 trading plan ("Plan") that was entered into by the reporting person on February 18, 2005. Pursuant to such Plan, the reporting person (a) exercised a Rule 16b3 exempt stock option that had been granted on
- January 25, 1999, and which would have expired on January 25, 2006 if not sooner exercised by the reporting person, and (b) sold only such number of the shares acquired thereby as was necessary to satisfy the option's exercise price and associated taxes.
- (2) Includes an aggregate of 3,816.1362 shares acquired under the Advanced Medical Optics, Inc. Employee Stock Purchase Plan ("ESPP") through the purchase period which ended on April 30, 2005.
- An aggregate of 100 shares have been acquired by a stock club in which Mrs. Keleen B. Mazzo (spouse of Mr. James V. Mazzo) is one of (3) thirteen members. Mr. Mazzo disclaims beneficial ownership of these securities and this report shall not be deemed an admission that Mr. Mazzo is the beneficial owner of such securities for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.