Edgar Filing: CMS ENERGY CORP - Form 4

| CMS ENER | GY CORP | | | | | | | | | | |
|---|----------------------|----------------------|------------|--------------------------------------|------------------|-----------|---|--|---------------------------------------|--------------|--|
| Form 4 | | | | | | | | | | | |
| May 12, 201 | .6 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB APPROVAL | | | | |
| - | UNITED | SIAILS | | shington, | | | NGE U | OMINII55ION | OMB Number: | 3235-0287 | |
| Check th | is box | | vv az | sinington, | D.C. 20. | / | | | | January 31, | |
| if no longer STATEMENT OF CHA | | | | ANGES IN BENEFICIAL OWN | | | | NERSHIP OF | Expires: | 2005 | |
| subject to STATEMENT OF CHAIN Section 16. | | | | SECURITIES | | | | | Estimated average burden hours per | | |
| Form 4 c | orm 4 or | | | | | | | | | 0.5 | |
| Form 5 obligatio | | | | | | | • | e Act of 1934, | | | |
| may con | | | | • | • | | | 1935 or Section | 1 | | |
| See Instr | uction | 30(h) (| of the In | vestment | Compan | y Aci | t of 194 | 0 | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | | | | |
| | | | | | | | | | | | |
| | Address of Reporting | Person [*] | 2. Issuer | er Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to | | | |
| • | | | Symbol | | | | | Issuer | | | |
| | | | CMS El | NERGY | CORP [C | MS] | | (Check all applicable) | | | |
| (Month/ | | | | te of Earliest Transaction | | | | | | | |
| | | | (Month/D | - | | | | Director 10% Owner Officer (give title Other (specify | | | |
| | | | 05/10/2016 | | | | | below) below) | | | |
| | | | | | | | | Senior | Vice Presiden | t | |
| | | | | endment, Date Original | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | Filed(Mor | nth/Day/Year | .) | | | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| JACKSON, | MI 49201 | | | | | | | Form filed by M | | | |
| | | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | Derivative S | Securi | ities Acqu | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction Date | | 1 | | | | | 5. Amount of | 6. | 7. Nature of | |
| Security | (Month/Day/Year) | Execution any | | | | | | Securities Beneficially | Ownership Form: Direct | Indirect | |
| (Instr. 3) | | Day/Year) (Instr. 8) | | | | | Owned | Form: Direct Benefici (D) or Ownersh | Ownership | | |
| | | | • | | | | | Following | Indirect (I) | (Instr. 4) | |
| | | | | | | (A) | | Reported Transaction(s) | (Instr. 4) | | |
| | | | | Codo V | Amount | or (D) | Duine | (Instr. 3 and 4) | | | |
| Common | | | | Code V | Amount 13,420 | | Price \$ | | | | |
| Stock | 05/10/2016 | | | S | (<u>1</u>) | D | 41.66 | 107,698 | D | | |
| | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed | Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans | |
|---|---|---|---|--|--|-------------------------------------|--------------------|---|---|---|--------|
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, 4, and 5) | | | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|------------|-----------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| MENGEBIER DAVID G ONE ENERGY PLAZA JACKSON, MI 49201 | | | Senior Vice President | | | | | |
| Signatures | | | | | | | | |
| Melissa M. Gleespen, Attny-in-Fact | | 05/12/2016 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.61 to \$41.70, inclusive. The reporting person undertakes to provide to CMS Energy Corporation ("CMS"), any security holder of CMS, or

(1) to \$447776, inclusive. The reporting person undertakes to provide to Chris Energy corporation (Cerrs), any security holder of Chris, of the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (1) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.