

MANITOWOC CO INC  
Form 4  
January 08, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**TELLOCK GLEN E**

(Last) (First) (Middle)  
2400 S. 44TH STREET  
(Street)

MANITOWOC, WI 54220

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**MANITOWOC CO INC [MTW]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/07/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D) Code V Amount Price                                    |   |  |   |
| Common Stock                    |                                      |  |                                |   | 1,500 <sup>(1)</sup>  | I  | By Daughter (L)                                       |
| Common Stock                    |                                      |  |                                |   | 17,326.7463 <sup>(2)</sup>  | I  | RSVP 401k Plan  |
| Common Stock                    |                                      |  |                                |   | 1,000 <sup>(3)</sup>  | I  | By Daughter (M)                                       |
| Common Stock                    | 01/07/2014                           |  | M                              | 66,256 A \$ 10.14   | 461,891.27  | D  |   |
|                                 | 01/07/2014                           |  | M                              | 277,725 A \$ 4.41   | 739,616.27  | D  |   |

Common  
Stock

Common Stock 01/07/2014 S 314,713 D \$ 424,903.27 D  
(4) (5) 23.0805

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed Execution Date, if any<br>(Month/Day/Year) | 4. Transaction Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) |
|---|--|---|---|-----------------------------------|--|---|
|   |  |   |   | Code                              | V (A) (D)  | Date Exercisable Expiration Date                            |
| 050305EmpStockOption (rtb)                    | \$ 10.14   | 01/07/2014                              |   | M                                 | 66,256   | 05/03/2007 05/03/2015                                       |
| 02.24.2009EmpStockOption                      | \$ 4.41  | 01/07/2014                              |   | M                                 | 277,725  | 02/24/2011 02/24/2019                                       |

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| TELLOCK GLEN E<br>2400 S. 44TH STREET<br>MANITOWOC, WI 54220 |               |           | President and CEO |       |

## Signatures

Maurice Jones, by Power of Attorney 01/08/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person no longer has a reportable beneficial interest in 1,500 shares of common stock owned by his daughter and included in the reporting person's prior ownership reports.

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- Net increase of 50,416.0 shares between 12/31/11 and 12/31/12 under the Company's 401(k) plan, due to acquisitions of shares, plan
- (2) maintenance fees and required plan forfeitures and withdrawals under IRC safe harbor rules, all of which are exempt from Section 16(b) pursuant to rule 16b-3 and exempt from reporting pursuant to rule 16a-3(f)(1)(i)(B).
  - (3) Acquisition by the reporting person's spouse as custodian for daughter (M) under the Wisconsin Uniform Transfer to Minors Act.
  - (4) Weighted average price of shares sold with sales ranging from a low of \$22.70 to a high of \$23.31.
  - (5) Shares sold for tax planning purposes under a rule 10b5-1 plan established by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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