#### DIODES INC /DEL/

Form 4

August 06, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* STICH JOHN M

2. Issuer Name and Ticker or Trading Symbol

DIODES INC /DEL/ [DIOD]

5. Relationship of Reporting Person(s) to Issuer

below)

(Check all applicable)

(Last)

(Middle)

3. Date of Earliest Transaction

Director

Officer (give title

10% Owner Other (specify

4949 HEDGCOXE ROAD, SUITE

(Street)

08/05/2013

Common Stock

(First)

200

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

08/05/2013

6. Individual or Joint/Group Filing(Check

D

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

\$ 27.49 42,788

**PLANO, TX 75024** 

(City)	(State) (Zi	p) Table I	- Non-Dei	ivative Se	ecuriti	ies Acquire	d, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Diodes Incorporated Common Stock							7,312	I	Stich Family Holdings, LLC
Diodes Incorporated Common Stock	08/05/2013		M <u>(1)</u>	5,100	A	\$ 8.1422	46,188	D	
Diodes Incorporated	08/05/2013		<b>S</b> (4)	3 400	D	\$ 27 49	42 788	D	

 $S^{(4)}$ 

3,400 D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
7/14/04 DIOD NQSO	\$ 8.1422	08/05/2013	M(1)	5,100	07/14/2005(3)	07/14/2014	Diodes Incorporated Common Stock	5,1

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STICH JOHN M 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024

## **Signatures**

Richard D. White as Power of Attorney for John Stich

08/06/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise pursuant to previously filed 10b5-1 Plan.
- (2) Granted under Rule 16b-3 Plan.
- (3) Non-qualified Stock Options exercisable in three equal annual installments beginning 07/14/2005.

Reporting Owners 2

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(4) Sale pursuant to previously filed 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.