#### Edgar Filing: MEDTRONIC INC - Form 3

MEDTRONIC INC Form 3

July 22, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MEDTRONIC INC [MDT] À AYOTTE NEIL P (Month/Day/Year) 07/15/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 710 MEDTRONIC PKWY MS (Check all applicable) LC300 (Street) 6. Individual or Joint/Group 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP Interim Gen Counsel CorpSec Person MINNEAPOLIS, MNÂ 55432 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock I 862.977 **ESOP** Common Stock 10,201.532 D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security (Instr. 4)	or Exercise Price of	Form of Derivative	Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	10/21/2004	10/21/2014	Common Stock	3,500	\$ 50	D	Â
Employee Stock Option (right to buy)	10/19/2006(1)	10/19/2015	Common Stock	11,985	\$ 56.74	D	Â
Employee Stock Option (right to buy)	10/30/2007(1)	10/30/2016	Common Stock	5,509	\$ 48.7	D	Â
Employee Stock Option (right to buy)	10/29/2008(1)	10/29/2017	Common Stock	6,897	\$ 47.77	D	Â
Employee Stock Option (right to buy)	08/03/2010(1)	08/03/2019	Common Stock	1,740	\$ 35.92	D	Â
Employee Stock Option (right to buy)	08/02/2011(1)	08/02/2020	Common Stock	2,998	\$ 37.53	D	Â
Employee Stock Option (right to buy)	08/01/2012(1)	08/01/2021	Common Stock	3,280	\$ 34.88	D	Â
Employee Stock Option (right to buy)	07/30/2013(1)	07/30/2022	Common Stock	6,926	\$ 38.81	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
AYOTTE NEIL P 710 MEDTRONIC PKWY MS LC300 MINNEAPOLIS, MN 55432	Â	Â	VP Interim Gen Counsel CorpSec	Â	

## **Signatures**

Sarah M. Maveus, Attorney-in-fact 07/22/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable at the rate of 25% of the shares granted per year beginning on the first anniversary of grant.

  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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