Edgar Filing: BERRY PETROLEUM CO - Form 4

| BERRY PE | TROL | EUM CO | | | | | | | | | |
|---|--------|---|-----------------|----------------------------------|--|---------------------------------------|--|---------------------|--|---|-------------------------------|
| Form 4 | | | | | | | | | | | |
| January 09, | | | | | | | | | | | |
| FORM | Л4 | UNITED | STATES | SECUE | RITIES A | ND EX | СНА | NGE C | OMMISSION | | PROVAL |
| | | | 5111115 | | shington, | | | | | OMB Number: | 3235-0287 |
| Check this box if no longer subject to | | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O | | | | | | | | Expires: Estimated a | January 31, 2005 verage |
| Section Form 4 Form 5 obligatio may cor <i>See</i> Inst 1(b). | - | SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type | Respon | ses) | | | | | | | | | |
| 1. Name and A | | of Reporting EORGE T | Person <u>*</u> | Symbol | r Name and 7 PETRO | | | - | 5. Relationship of Issuer | Reporting Pers | on(s) to |
| (Last) | ſ | First) (N | /liddle) | | f Earliest Ti | | U [1 | JKIJ | (Check | all applicable |) |
| C/O BERR | Y PET | , , , , , , , , , , , , , , , , , , , | , | 3. Date o (Month/I 01/08/2 | Day/Year) | ransaction | | | Director Officer (give t below) Snr VP o | | Owner er (specify on |
| BAKERSF | , | CA 93309 | | | endment, Da nth/Day/Year | - | al | | 6. Individual or Job Applicable Line) _X_ Form filed by O Form filed by M | ne Reporting Per | rson |
| (City) | | | (Zip) | Tab | la I Non I | Domissotisso | Security | ritios A agu | Person uired, Disposed of, | or Ponoficial | w Ownod |
| 1.Title of Security (Instr. 3) | 2. Tra | insaction Date th/Day/Year) | - | ed Date, if | 3. Transactic Code (Instr. 8) Code V | 4. Securi on(A) or D (Instr. 3, | ties A ispose 4 and (A) or | cquired d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect |
| Class A Common Stock | | | | | | | | | 4,369 | Ι | Held in 401(k) Plan |
| Class A Common Stock | 01/0 | 8/2013 | | | М | 5,333 (1) | A | \$ 35.535 | 7,918 | D | |
| Class A Common Stock | 01/0 | 9/2013 | | | М | 952 <u>(1)</u> | A | \$ 35.645 | 8,870 | D | |
| Class A Common | 01/0 | 9/2013 | | | S | 443 | D | \$ 35.21 | 8,427 | D | |

Edgar Filing: BERRY PETROLEUM CO - Form 4

| Stock | | | | | | |
|----------------------------|------------|---|-----|---|----------------|---|
| Class A Common Stock | 01/09/2013 | S | 840 | D | \$ 35.22 7,587 | D |
| Class A Common Stock | 01/09/2013 | S | 326 | D | \$ 35.24 7,261 | D |
| Class A Common Stock | 01/09/2013 | S | 100 | D | \$ 35.26 7,161 | D |
| Class A Common Stock | 01/09/2013 | S | 100 | D | \$ 35.27 7,061 | D |
| Class A Common Stock | 01/09/2013 | S | 100 | D | \$ 35.29 6,961 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amoun Underlying Securiti (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|-----------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amor or Numl of Sh |
| Nonstatutory Stock Option 12-15-05 | \$ 30.645 | | | | | 12/15/2006 | 12/15/2015 | Class A Common Stock | 20,0 |
| Nonstatutory Stock Option 12-15-06 | \$ 32.565 | | | | | 12/15/2007 | 12/14/2016 | Class A Common Stock | 20,0 |
| NSO 2007 | \$ 43.61 | | | | | 12/14/2008 | 12/13/2017 | Class A Common Stock | 14,2 |

Edgar Filing: BERRY PETROLEUM CO - Form 4

| 2009 Restricted Stock Units | \$ 0 | | | | 12/11/2010 | 12/11/2019 | Class A Common Stock | 19,5 |
|--|----------|------------|---|--------------|------------|------------|----------------------------|------|
| Perf Based RSUs 3-16-10 | \$ 0 | | | | 12/31/2012 | 12/31/2012 | Class A Common Stock | 8,2 |
| March 2011 Employee RSU Grant | \$ 0 | | | | 03/02/2012 | 03/02/2021 | Class A Common Stock | 6,4 |
| Non-Statutory Stock Option 3-2-2011 - \$48.50 | \$ 48.5 | | | | 03/02/2012 | 03/02/2021 | Class A Common Stock | 7,3 |
| Perf Based RSU 3-2-2011 | \$ 0 | | | | 12/31/2013 | 03/02/2021 | Class A Common Stock | 5,6 |
| March 2, 2012 Employee RSU Grant | \$ 0 | | | | 03/02/2013 | 03/02/2022 | Class A Common Stock | 5,8 |
| Non Statutory Stock Option 3-2-12 | \$ 53.02 | | | | 03/02/2013 | 03/02/2022 | Class A Common Stock | 6,9 |
| Perf Based RSUs 3-2-12 | \$ 0 | | | | 12/31/2014 | 03/02/2022 | Class A Common Stock | 5,1 |
| 2008 Restricted Stock Units | \$ 0 | 01/08/2013 | М | 5,333 (2) | 12/12/2009 | 12/11/2018 | Class A Common Stock | 26,6 |
| 2007 Restricted Stock Unit | \$ 0 | 01/09/2013 | М | 952 (2) | 12/14/2008 | 12/13/2017 | Class A Common Stock | 3,8 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---------------------------------------|---------------|-----------|---------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| CRAWFORD GEORGE T | | | | | | | |
| C/O BERRY PETROLEUM COMPANY | | | Snr VP of | | | | |
| 5201 TRUXTUN AVE. | | | CA Production | | | | |
| BAKERSFIELD, CA 93309 | | | | | | | |

Signatures

Kenneth A Olson under POA for George Crawford

01/09/2013

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Issuance of shares from previous RSU grant based on meeting terms and timing of deferral election.
- (2) Issuance of 20% of RSU shares pursuant to a Rule 16b-3 Plan per deferral election in place at date of grant.

Remarks:

Shares sold were to cover taxes due on RSU issuance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.