

McCallion John D.
Form 4
March 05, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
McCallion John D.

(Last) (First) (Middle)

METLIFE, INC., 200 PARK
AVENUE

(Street)

NEW YORK, NY 10166

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

METLIFE INC [MET]

3. Date of Earliest Transaction
(Month/Day/Year)

03/01/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

EVP & Chief Financial Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------------|---|---|--------------------------------------|---|--|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 03/01/2019 | | M | | 1,580 | A | 11 |
| Common Stock | 03/01/2019 | | F(2) | | 537 | D | \$ 45.55 |
| Common Stock | 03/01/2019 | | M | | 726 | A | 11 |
| Common Stock | 03/01/2019 | | F(2) | | 247 | D | \$ 45.55 |
| Common Stock | 03/04/2019 | | M | | 1,190 | A | 11 |

Edgar Filing: McCallion John D. - Form 4

Common Stock 03/04/2019 F(2) 405 D \$ 45.66 22,925 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|---|
| | | | | | | | | |
| | | | | Code | V | (A) | (D) | |
| Restricted Stock Units | (1) | 03/01/2019 | | M | | 1,580 | (3) | |
| | | | | | | (4) | (4) | |
| Restricted Stock Units | (1) | 03/01/2019 | | M | | 726 | (5) | |
| | | | | | | (4) | (4) | |
| Restricted Stock Units | (1) | 03/04/2019 | | M | | 1,190 | (4) | |
| | | | | | | (4) | (4) | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

McCallion John D.
METLIFE, INC., 200 PARK AVENUE
NEW YORK, NY 10166

EVP & Chief Financial Officer

Signatures

Mark A. Schuman, authorized
signer

03/05/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Restricted Stock Unit is the contingent right to receive one share of MetLife, Inc. common stock.

(2) Shares withheld to satisfy the Reporting Person's tax withholding obligation due on the Restricted Stock Unit payout.

Includes 168 Restricted Stock Units previously added to the reporting person's award through an adjustment to maintain the intrinsic

(3) value of the Restricted Stock Units in light of the distribution by MetLife, Inc., to its shareholders of Brighthouse Financial, Inc. common stock. The reporting person did not receive shares of Brighthouse Financial, Inc. common stock on account of the Restricted Stock Units.

(4) The Restricted Stock Units vest in three installments on the first business day in March on or following each of the first three anniversaries of the Grant Date.

Includes 77 Restricted Stock Units previously added to the reporting person's award through an adjustment to maintain the intrinsic value

(5) of the Restricted Stock Units in light of the distribution by MetLife, Inc., to its shareholders of Brighthouse Financial, Inc. common stock. The reporting person did not receive shares of Brighthouse Financial, Inc. common stock on account of the Restricted Stock Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.