Edgar Filing: JOHNSON WARREN C - Form 4

| JOHNSON WAR | REN C | | | | | | | | | | | |
|--|---|--|--|--|-------------------|----------------------------------|---|--|--|--|---|-----------|
| Form 4 | h | | | | | | | | | | | |
| February 28, 2019 | , | | | | | | | | | OMB A | PPROVA | 41 |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | OMB | | -0287 |
| Check this box if no longer subject to Section 16. Form 4 or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | Expires: January 3 ⁻ 200 Estimated average burden hours per response 0. | | |
| Form 5 obligations may continue. <i>See</i> Instruction 1(b). | · | a) of the H | Public U | | ding (| Comp | any Act | nge Act of 1934 of 1935 or Sect 940 | | | | |
| (Print or Type Respon | ises) | | | | | | | | | | | |
| | | | 2. Issuer Name and Ticker or Trading Symbol ASTRONICS CORP [ATRO] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (I | First) (I | Middle) | 3. Date of Earliest Transaction | | | | | | neck al | l applicable | e) | |
| 130 COMMERCE WAY | | | (Month/Day/Year) 02/26/2019 | | | | X_ Director 10% Owner Officer (give title Other (specify below) | | | | | |
| (Street) 4. If Amendment Filed(Month/Day/ | | | | | Pay/Year) Applie | | | | idual or Joint/Group Filing(Check le Line) n filed by One Reporting Person | | | |
| EAST AURORA | , NY 14052 | , | | | | | | Form filed by Person | | | | |
| (City) (S | State) | (Zip) | Tab | le I - Non- | Derivat | tive Se | ecurities A | cquired, Disposed | of, or | Beneficia | lly Owne | d |
| | nsaction Date h/Day/Year) | 2A. Deemo Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) | Dispo (Instr. | red (A sed of 3, 4 a (A | A) or (D) nd 5) A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | Form | wnership n: Direct or Indirect r. 4) | 7. Nature Indirect Beneficia Ownersh (Instr. 4) | al 1ip |
| | | | | Code V | | | D) Price | | | | | |
| Reminder: Report on | a separate line | for each cla | ass of secu | urities bene | - | | - | - | ootiou | a of s | EC 1474 | |
| | | | | | inf rec dis | orma quirec | tion cont d to respo s a currer | pond to the colle ained in this form and unless the form thy valid OMB co | m are orm | not | SEC 1474 (9-02) | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and | 8. Pri |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|--------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Amount of | Deriv |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | Underlying | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired | | Securities | (Insti |

Edgar Filing: JOHNSON WARREN C - Form 4

| | Derivative Security | | | | (A) or Disposed o (D) (Instr. 3, 4 and 5) | | | | (Instr. 3 | 3 and 4) |
|--------------------------|------------------------|------------|------|---|---|----|---------------------|--------------------|----------------------------|--|
| | | | Code | v | (A) (| D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option | \$ 28.5 | | | | | | 09/07/2017 | 03/07/2027 | \$.01 PV Com Stk | 4,000 |
| Option | \$ 28.5 | | | | | | 09/07/2017 | 09/07/2017 | \$.01 PV CL B STK | 600 |
| Option | \$ 34.04 | | | | | | 03/02/2019 | 03/02/2028 | \$.01 PV Com Stk | 4,000 |
| Option | \$ 34.04 | | | | | | 03/02/2019 | 03/02/2019 | \$.01 PV CL B STK | 600 |
| Restricted Stock Unit | <u>(1)</u> | 02/26/2019 | A | | 2,222 | | <u>(2)</u> | <u>(2)</u> | \$.01 PV Com Stk | 2,222 |

Reporting Owners

| Reporting Owner Name / Address | | | | |
|---|-------------|-----------|---------|------------|
| | Director | 10% Owner | Officer | Other |
| JOHNSON WARREN C 130 COMMERCE WAY EAST AURORA, NY 14052 | Х | | | |
| Signatures | | | | |
| /s/Julie Davis as Power of Atto Johnson | orney for V | Warren C. | | 02/28/2019 |

<u>**</u>Signature of Reporting Person

Date

\$

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) These restricted stock units are scheduled to vest 100% on August 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.