Edgar Filing: HENDERSON J CURTIS - Form 4

HENDERSC	ON J CURTIS											
Form 4												
January 25, 2	2019											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287			
Check th									Expires:	January 31,		
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated a				
	Form 4 or								burden hou response	•		
Form 5	Filed pu	ursuant to	Section 1	6(a) of the	e Securiti	es Ex	chang	e Act of 1934,	•			
obligation may cont				•	•	• •		f 1935 or Sectio	n			
See Instru		30(h)) of the In	vestment	Company	y Act	of 194	40				
1(b).												
(Print or Type I	Responses)											
				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
HENDERS	ON J CURTIS		Symbol	1.5	. .		77	155001				
			Approa	Approach Resources Inc [AREX]				(Check all applicable)				
				of Earliest Transaction				D1				
ONE RIDG	MAR CENTRE	6500		n/Day/Year) /2010				Director 10% Owner X Officer (give title Other (specify				
ONE RIDGMAR CENTRE, 6500 01/23 WEST FREEWAY, SUITE 800			01/25/20	1/23/2019				below) below) Chief Administrative Officer				
				ed(Month/Day/Year) Applicat _X_For				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
												FORT WORTH, TX 76116
(City)	(State)	(Zip)	Tabl					e I - Non-D	erivative S	ecurit	ies Acc	uired, Disposed o
1.Title of	2. Transaction Da			3. Tana ati				5. Amount of	6. Ownership			
Security (Instr. 3)	(Month/Day/Yea	any	on Date, n	Transaction(A) or Disposed of Code (D)				Securities Beneficially	Form: Direct Indire (D) or Benef	Beneficial		
				ar) (Instr. 8) (Instr. 3, 4 and 5)				Owned	Indirect (I)			
								Following Reported	(Instr. 4)	(Instr. 4)		
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	01/23/2019			D	30,978 (1)	D	\$0	708,596	D			
Common Stock	01/23/2019			F	27,663 (2)	D	\$ 0	680,933	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	(
HENDERSON J CURTIS ONE RIDGMAR CENTRE 6500 WEST FREEWAY, SUITE 800 FORT WORTH, TX 76116			Chief Administrative Officer					
Signatures								

/s/ J. Curtis Henderson 01/25/2019 <u>**Signature of Date</u> Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a portion of shares granted on March 2, 2016 which were subject to the issuer's three-year total shareholder return (TSR)
 (1) performance. As of January 23, 2019, the Compensation Committee of the issuer ratified the issuer's performance against certain TSR metrics, and 30,978 shares were forfeited.
- (2) Represents the tax liability on January 23, 2019, satisfied by withholding 27,663 shares incident to the vesting of securities under a Restricted Stock Award Agreement dated March 2, 2016 between the Reporting Person and the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Other