Zangerle John Adam Form 4 January 14, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287 January 31, Expires:

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Zangerle John Adam			2. Issuer Name <b>and</b> Ticker or Trading Symbol STERIS plc [STE]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) (First)	(First)	(Middle)	3. Date of Earliest Transaction	(energian applicable)		
C/O RUTHERFORD HOUSE, STEPHENSONS WAY, CHADDESDEN		OUSE,	(Month/Day/Year) 01/11/2019	Director 10% Owner X Officer (give title Other (specify below) Sr. VP, Gen Counsel, and Sec.		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
DERBY, X0 DE21 6LY			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) T	<b>able</b>	I - Non-D	Derivative Securities Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed		3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature
Security	(Month/Day/Year)	Execution Date	if	Transactio	or(A) or Disposed of (D)	Securities	Form: Direct	Indirect

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares, 10 pence par value	01/11/2019	Code V  M	Amount  1,750 (1)	(D)	Price \$ 36.09	,	D	
Ordinary Shares, 10 pence par value	01/11/2019	S	1,750	D	\$ 110	30,796 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 36.09	01/11/2019		M	1,750	<u>(3)</u>	05/31/2021	Ordinary Shares	1,750	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
and the second of the second o	Director	10% Owner	Officer	Other			
Zangerle John Adam C/O RUTHERFORD HOUSE, STEPHENSONS WAY CHADDESDEN DERBY X0 DE21 6LY			Sr. VP, Gen Counsel, and Sec.				

## **Signatures**

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

Date

01/14/2019

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise and sale of a total of 1,750 ordinary shares is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on November 9, 2018.
- (2) 19,136 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 3,000 on May 28, 2019; 4,000 on October 1, 2019; 2,500 on June 1, 2020; 6,000 on June 1, 2021 and 3,636 on May 31, 2022.

Reporting Owners 2

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This option to purchase 3,500 STERIS plc ordinary shares, which is fully vested, was received in the Merger in exchange for an option to purchase 3,500 STERIS common shares for \$36.09 per share, subject to the same terms and conditions as the original STERIS Corporation stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.