#### CARROLL LEONARD M

Form 4

November 19, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

may continue. See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** CARROLL LEONARD M |                                    |              | 2. Issuer Name <b>and</b> Ticker or Trading Symbol         |   |   |  |            | 5. Relationship of Reporting Person(s) to Issuer   |  |   |  |
|--|------------------------------------|--------------|--|---|---|--|------------|--|--|---|--|
| (Last) (First) (Middle)                                      |                                    |              | AMPCO PITTSBURGH CORP [AP] 3. Date of Earliest Transaction |   |   |  |            | (Check all applicable)   |  |   |  |
| (Lust)   | (Tilst)                            | (Middle)     |  |   | ilisaction                                |  |            | X Director   | 10%  | Owner   |  |
| 2591 WEXFORD-BAYNE<br>ROAD, SUITE 203                        |                                    |              | (Month/Day/Year)<br>11/19/2018                             |   |   |  |            |  |  | er (specify   |  |
|  | (Street)                           |              | 4. If Amendment, Date Original                             |   |   |  |            | 6. Individual or Joint/Group Filing(Check  |  |   |  |
| SEWICKLE   | Filed(Month/Day/Year)              |              |  |   |   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |            |  |  |   |  |
| (City)   | (State)                            | (Zip)        | Table  | e I - Non-D                             | erivative S                               | Securi   | ties Acc   | quired, Disposed   | of, or Beneficial  | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                         | 2. Transaction D<br>(Month/Day/Yea | r) Execution | emed<br>on Date, if<br>/Day/Year)                          | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securion(A) or Di<br>(D)<br>(Instr. 3, | ispose   | d of       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock  | 11/19/2018                         |              |  | P                                       | 1,000                                     | A  | \$<br>4.29 | 25,631   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: CARROLL LEONARD M - Form 4

| 1. Title of Derivative                                       | 2. Conversion | 3. Transaction Date |   | 4.              | 5.   | 6. Date Exerc       |                    | 7. Titl |  | 8. Price of                          | 9. Nu   |
|--|---------------|---------------------|---|-----------------|--|---------------------|--------------------|---------|--|--------------------------------------|---|
| Security or Exercise (Instr. 3) Price of Derivative Security |               | (Month/Day/Year)    | Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | Secur   | Underlying decurities  Instr. 3 and 4) | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|  |               |                     |   | Code V          | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                      |   |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

CARROLL LEONARD M
2591 WEXFORD-BAYNE ROAD
SUITE 203
SEWICKLEY, PA 15143

## **Signatures**

/s/ Rose Hoover for Leonard M. Carroll (POA previously filed)

11/19/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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