## Edgar Filing: Case Gregory C - Form 4

Form 4											
February 20									OMB AF	PROVAL	
FORM	VI 4 UNITED	STATES					NGE CO	MMISSION	OMB Number:	3235-0287	
Check t if no los subject Section Form 4 Form 5	nger to <b>STATEN</b> 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
obligati may co <i>See</i> Inst 1(b).	ntinue. Section 17	(a) of the H	Public U	Jtility Ho		ipany	Act of 1	Act of 1934, 935 or Section			
(Print or Type	e Responses)										
Case Gregory C Symbol								5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (	Middle)	•	of Earliest 7	Fransaction			(Check all applicable)			
				Day/Year)			_	_X_ Director10% Owner _X_ Officer (give titleOther (specify below) below) Chief Executive Officer			
СШСАСС	(Street)			endment, E onth/Day/Ye	Date Original ar)	l	А	. Individual or Joi pplicable Line) X_ Form filed by Ou Form filed by Mo	ne Reporting Per	rson	
CHICAGO							Р	erson		-	
(City)	(State)	(Zip)		ole I - Non-			_	red, Disposed of,		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securitio onor Dispose (Instr. 3, 4	d of (E	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A				Code V	Amount	(D)	Price	(Instr. 5 and 4)			
Ordinary Stock	02/15/2018			A <u>(1)</u>	447,633	А	<u>(1)</u>	717,945	D		
Class A Ordinary Stock	02/15/2018			F <u>(2)</u>	200,442	D	\$ 140.86	517,503	D		
Class A Ordinary Stock	02/16/2018			M <u>(3)</u>	3,740	A	<u>(3)</u>	521,243	D		
Class A Ordinary	02/16/2018			F <u>(2)</u>	1,587	D	\$ 140.86	519,656	D		

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Stock								
Class A Ordinary Stock	02/16/2018	M <u>(3)</u>	2,978	А	<u>(3)</u>	522,634	D	
Class A Ordinary Stock	02/16/2018	F <u>(2)</u>	1,264	D	\$ 140.86	521,370	D	
Class A Ordinary Stock						149,604	Ι	By GRAT
Class A Ordinary Stock						348,696	Ι	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numb orDerivati Securitic Acquire Dispose (Instr. 3. 5)	ve es d (A) or d of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numl of Share
Restricted Share Unit (Right to Receive)	<u>(4)</u>	02/16/2018		A	4,969		(5)	02/16/2021(5)	Class A Ordinary Shares	4,9
Restricted Share Unit (Right to Recieve)	<u>(4)</u>	02/16/2018		М		3,740	<u>(6)</u>	02/19/2019 <u>(6)</u>	Class A Ordinary Shares	3,74
Restricted Share Unit (Right to Recieve)	<u>(4)</u>	02/16/2018		М		2,978	<u>(7)</u>	02/17/2020 <u>(7)</u>	Class A Ordinary Shares	2,9'

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Case Gregory C 200 EAST RANDOLPH ST. CHICAGO, IL	Х		Chief Executive Officer					
Signatures								
/s/ Molly Johnson, pursuant to a Power of Attorney from Greg								

Case

\*\*Signature of Reporting Person

02/20/2018 Date

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents Class A Ordinary Shares issued upon the settlement of performance share unit awards originally granted approximately three years ago under the tenth cycle of the Company's Leadership Performance Program. The number of shares issued was determined by the

- (1) Security algo under the company's Deddership reformance (rogram). The number of shares issued was determined by the Organization and Compensation Committee of the Board of Directors on February 15, 2018, based on the Company's performance for the period from January 1, 2015 to December 31, 2017.
- (2) Class A Ordinary Shares withheld by the issuer for payment of withholding taxes in connection with the vesting of the award.
- (3) Class A Ordinary Shares acquired upon the vesting of a restricted share unit award.
- (4) The restricted share unit award converts to Class A Ordinary Shares on a 1-for-1 basis. In accordance with U.K. law, the reporting person agreed to pay the issuer the nominal value of US\$0.01 per share issued to the reporting person.
- (5) The restricted share unit award vests in accordance with the terms of the Aon plc 2011 Incentive Compensation Plan as follows: 33 1/3% of the award vests on each of the first through third anniversary of the date of the grant.
- A restricted share unit award was granted on February 19, 2016 and vests in accordance with the terms of the Aon plc 2011 Incentive Compensation Plan: 33 1/3% of the award vests on each of the first through third aniversary of the date of grant.
- A restricted share unit award was granted on February 17, 2017 and vests in accordance with the terms of the Aon plc 2011 Incentive Compensation Plan: 33 1/3% of the award vests on each of the first through third aniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.