Kenefick Jeffrey Patrick Form 4 January 26, 2018

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

3235-0287 Number: January 31,

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0.5

burden hours per response...

5. Relationship of Reporting Person(s) to

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

Kenefick Jeffrey Patrick			Symbol FINANCIAL INSTITUTIONS INC [FISI]					Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of (Month/D		ansaction			DirectorX_ Officer (gi	0% Owner ther (specify		
220 LIBERTY STREET			01/24/2018					Executive Vice President			
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
WARSAW,	NY 14569		Filed(Mon	th/Day/Year)					y One Reporting Portion of the More than One R		
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execut any	emed ion Date, if /Day/Year)	3. Transactic Code (Instr. 8)		(A) of (C) 4 and (A) or	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/24/2018			D	3,066 (1)	D	\$0	3,697	D		
Common Stock								2,470	I	Held in IRA	
Common Stock								4,986 (2)	I	Held in 401K Plan	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.											

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SEC 1474 (9-02)

### Edgar Filing: Kenefick Jeffrey Patrick - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						2			of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kenefick Jeffrey Patrick 220 LIBERTY STREET WARSAW, NY 14569

**Executive Vice President** 

## **Signatures**

Michael D. Grover, by power of attorney

01/26/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares reflect the forfeiture of restricted stock due to performance requirements that were not satisfied.
- (2) Includes 318 shares acquired under the FISI 401(k) plan since the date of the reporting person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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