## Edgar Filing: CANON JOSEPH E - Form 4

CANON LOCEDILE

CANON JC	OSEPH E												
Form 4													
August 24,	2017												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL				
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287				
Check t		_					Expires:	January 31,					
if no lor subject	<b>F CHAN</b>	NGES	IN	BENEFIC	CIAL	OWN	ERSHIP OF		2005				
Section		SEC	CUF	RITIES				Estimated average burden hours per					
	Form 4 or									response	. 0.5		
Form 5 obligation								•	Act of 1934,				
may cor				•		· ·			1935 or Section	l			
See Inst		30(h	) of the I	nvestm	nent	Company	Act	of 1940	)				
1(b).													
(Print or Type	Responses)												
(I fint of Type	(Caponaea)												
1. Name and Address of Reporting Person <sup>*</sup> _ 2. Issuer Name and Ticker or Trading 5. Relationship of							5. Relationship of ]	Reporting Person(s) to					
CANON JO	Symbol	21 I tunic	un		raame	>	Issuer						
	•	treet C	Capi	tal CORP	ГМА	IN1							
(Last)	•						L		(Check	(Check all applicable)			
(Last)	(1130)	(mudue)	(Month/			lansaction			_X_ Director 10% Owner				
1300 POST	Г OAK BLVD.,	8TH	08/15/2	-	ui )				Officer (give title Other (specify				
FLOOR							below) below)						
	(Street)		4. If Am	endmen	t. Da	ate Original			6. Individual or Joint/Group Filing(Check				
	Filed(Mc			-			Applicable Line) _X_ Form filed by One Reporting Person						
		·											
HOUSTON						:	Form filed by More than One Reporting Person						
(City)	(State)	(Zip)					•.			D (* ) )			
(eity)	(Build)	(24)	Tab	ole I - N	on-l	Derivative S	ecurit	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of	2. Transaction D		3.					5. Amount of	6.	7. Nature of			
Security (Instr. 3)	(Month/Day/Yea	ar) Executio any	on Date, if	if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)					Securities Beneficially Owned	Ownership Indirect Form: Benefic	Indirect Beneficial		
(1150.5)			Day/Year) (Instr. 8)							Direct (D)	Ownership		
									Following	or Indirect	(Instr. 4)		
							(A)		Reported Transaction(s)	(I) (Instr. 4)			
							or		(Instr. 3 and 4)	(IIISU. 4)			
Common				Code	V	Amount	(D)	Price	(, ,				
Common Stock	08/15/2017			J <u>(1)</u>	V	155.124	А	\$ 40.27	64,997.452	D			
Common	08/15/2017			<b>J</b> (1)	V	95.63	А	\$	65,093.082	D			
Stock				-			••	40.28		_			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**Reporting Owners** 

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Securi	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date		Amount or Number of Shares		

# **Reporting Owners**

**Reporting Owner Name / Address** 

CANON JOSEPH E 1300 POST OAK BLVD.

8TH FLOOR HOUSTON, TX 77056 Signatures

/s/ Jason B. Beauvais as Attorney-in-Fact for Joseph E. Canon

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Director

Х

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

10% Owner Officer Other

(1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

08/24/2017

Date