**ASTRONICS CORP** 

Form 4

October 12, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number:

January 31, Expires: 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GUNDERMANN PETER J** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

130 COMMERCE WAY

(Middle)

ASTRONICS CORP [ATRO] 3. Date of Earliest Transaction

(Check all applicable)

(First) (Last)

(Street)

(Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

10/11/2016

below) below) PRESIDENT/CEO

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

EAST AURORA, NY 14052

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Indirect (Instr. 3) Code (D) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or

(Instr. 3 and 4)

Code V Amount (D) Price

\$.01 PV

**COMMON STOCK** 

40,795 D

\$.01 PV

**CLASS B** 10/11/2016  $J^{(1)}$ 71.280 A

\$0 505,685

D

**STOCK** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 1 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
OPTION	\$ 5.76					12/12/2007	12/12/2016	\$.01 PV COM STK	9,788	
OPTION	\$ 5.76	10/11/2016		<u>J(1)</u>	4,345	12/12/2007	12/12/2016	\$.01 PV CL B STK	23,523	
OPTION	\$ 13.22					12/19/2008	12/19/2017	\$.01 PV COM STK	4,968	
OPTION	\$ 13.22	10/11/2016		J <u>(1)</u>	2,269	12/19/2008	12/19/2017	\$.01 PV CL B STK	12,431	
OPTION	\$ 3.27					12/09/2009	12/09/2018	\$.01 PV COM STK	35,451	
OPTION	\$ 3.27	10/11/2016		<u>J(1)</u>	11,353	12/09/2009	12/09/2018	\$.01 PV CL B STK	51,588	
OPTION	\$ 3.27					12/03/2010	12/03/2019	\$.01 PV COM STK	37,480	
OPTION	\$ 3.27	10/11/2016		J <u>(1)</u>	11,777	12/03/2010	12/03/2019	\$.01 PV CL B STK	52,812	
OPTION	\$ 8.82					12/02/2011	12/02/2020		14,700	

8. I Des Sec (Ins

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							\$.01 PV COM STK	
OPTION	\$ 8.82	10/11/2016	J <u>(1)</u>	4,619	12/02/2011	12/02/2020	\$.01 PV CL B STK	20,714
OPTION	\$ 15.63				12/01/2012	12/01/2021	\$.01 PV COM STK	10,700
OPTION	\$ 15.63	10/11/2016	J <u>(1)</u>	3,057	12/01/2012	12/01/2021	\$.01 PV CL B STK	12,734
OPTION	\$ 10.58				11/29/2013	11/29/2022	\$.01 PV COM STK	18,700
OPTION	\$ 10.58	10/11/2016	J <u>(1)</u>	4,645	11/29/2013	11/29/2022	\$.01 PV CL B STK	16,912
OPTION	\$ 32.72				12/11/2014	12/11/2023	\$.01 PV COM STK	8,300
OPTION	\$ 32.72	10/11/2016	J <u>(1)</u>	1,718	12/11/2014	12/11/2023	\$.01 PV CL B STK	4,872
OPTION	\$ 35.46				12/11/2015	12/11/2024	\$.01 PV COM STK	10,100
OPTION	\$ 35.46	10/11/2016	J <u>(1)</u>	1,742	12/11/2015	12/11/2024	\$.01 PV CL B STK	3,257
OPTION	\$ 31.88				12/03/2016	12/03/2025	\$.01 PV COM STK	13,700
Option	\$ 31.88	10/11/2016	J <u>(1)</u>	2,055	12/03/2016	12/03/2025		2,055

\$.01 PV CL B STK

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
GUNDERMANN PETER J 130 COMMERCE WAY EAST AURORA, NY 14052	X		PRESIDENT/CEO			

#### **Signatures**

/S/DAVID C. BURNEY, AS POWER OF ATTORNEY FOR PETER J. GUNDERMANN

10/12/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued pursuant to a three-for-twenty distribution of Class B stock to holders of both Common and Class B stock on the record date of October 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4