

ARCH COAL INC  
Form 4  
October 07, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JONES ROBERT G

(Last) (First) (Middle)  
ONE CITYPLACE DRIVE  
(Street)

ST. LOUIS, MO 63141

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ARCH COAL INC [ARCH]

3. Date of Earliest Transaction (Month/Day/Year)  
10/05/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Sr. VP-Law, Gen Counsel & Secy

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	10/05/2016		J		3,765 (1)	D	
					\$ 0 0		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Options	\$ 0 <sup>(2)</sup>	10/05/2016		D	38,515 <sub>(2)</sub>	<sub>(2)</sub> / <sub>(2)</sub>	Common Stock	38,515
Performance Stock Awards	\$ 0 <sup>(3)</sup>	10/05/2016		D	18,150 <sub>(3)</sub>	<sub>(3)</sub> / <sub>(3)</sub>	Common Stock	18,150
Restricted Stock Units	\$ 0 <sup>(4)</sup>	10/05/2016		D	18,150	<sub>(4)</sub> / <sub>(4)</sub>	Common Stock	18,150

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JONES ROBERT G ONE CITYPLACE DRIVE ST. LOUIS, MO 63141			Sr. VP-Law, Gen Counsel & Secy	

## Signatures

/s/ Rosemary L. Klein, Attorney-in-Fact 10/07/2016  
\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from bankruptcy.
- (2) Represents Employee Stock Options cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.
- (3) Represents Performance Stock Awards cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.
- (4) Represents Restricted Stock Units cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.