Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 July 08, 2016							
FORM 4 UNITED STATES Check this box if no longer which to STATEMENT O	NERSHIP OF	OMB Number: Expires:	PROVAL 3235-0287 January 31, 2005				
subject to Section 16.STATEMENT OF CHARGES IN DEPENDENCIAL OWNERSHIP OF Section 16.Estimated average burden hours per responseForm 4 or Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940Estimated average burden hours per responseSee Instruction 1(b).30(h) of the Investment Company Act of 19400.5							
(Print or Type Responses)							
1. Name and Address of Reporting Person <u>*</u> Farley Thomas W	2. Issuer Name and Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]	Issuer continental Exchange. Inc. [ICE]					
(Last) (First) (Middle)	3. Date of Earliest Transaction		all applicable)				
5660 NEW NORTHSIDE DRIVE	(Month/Day/Year) 07/06/2016	Director 10% Owner X Officer (give title Other (specify below) below) below) President, NYSE Group					
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person					
ATLANTA, GA 30328		Form filed by More Person	e than One Rep	orting			
(City) (State) (Zip)	Table I - Non-Derivative Securities Acq	uired, Disposed of, o	or Beneficially	Owned			
(Instr. 3) any (Month/I	on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) (A) or Code V Amount (D) Price	SecuritiesCBeneficiallyFOwned(i)FollowingIii	Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common 07/06/2016 Stock	S 755 (1) D (1) D (253.18)	14,063 <u>(2)</u> I	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Farley Thomas W 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328			President, NYSE Group			
Signatures						
/s/Andrew J. Surdykowski, Attorney-in-fact		07/08/20	016			
Signature of Reporting Person		Date				

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the (1) Securities Act of 1934, as amended.

The common stock number referred in Table I is an aggregate number and represents 2,070 shares of common stock and 11,993 unvested performance based restricted stock units, for which the performance period has been satisfied. These performance based restricted stock

units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year. The satisfaction of the (2) performance target for the 2016 performance based restricted stock units and the one-time NYSE merger-related performance based restricted stock units, and the corresponding number of shares of common stock to be issued pursuant to these awards, will not be determined until February 2017 and will be reported at the time of vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.