Steris plc Form 4 June 02, 2016

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Forsythe Suzanne V Issuer Symbol Steris plc [STE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify C/O CHANCERY HOUSE, 190 05/31/2016 below) WATERSIDE ROAD, HAMILTON V. P. - Human Resources **INDUSTRIAL PARK** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LEICESTER, X0 LE5 1QZ (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		1401		011,000,0	~~~~	20200 2204	un cu, Disposeu o	., 01 201101101111	.,
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Form: Direct Seneficially (D) or Owned Indirect (I) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Ordinary			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Shares, 0.10 Nominal Value	05/31/2016		F	185 (1)	D	\$ 69.43	9,723	D	
Ordinary Shares, 0.10 Nominal Value	05/31/2016		F	329 (2)	D	\$ 69.43	9,394	D	
	05/31/2016		F	140 (3)	D		9,254	D	

### Edgar Filing: Steris plc - Form 4

Ordinary Shares, 0.10 Nominal Value					\$ 69.43			
Ordinary Shares, 0.10 Nominal Value	05/31/2016	F	124 (4)	D	\$ 69.43	9,130	D	
Ordinary Shares, 0.10 Nominal Value	06/01/2016	A	1,500 (5)	A	\$ 0	10,630	D	
Ordinary Shares, 0.10 Nominal Value	06/01/2016	A	1,400 (6)	A	\$ 0	12,030	D	
Ordinary Shares, 0.10 Nominal Value						1,066	I	See Footnote Below. (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of 2.		3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exer	6. Date Exercisable and		7. Title and Amount	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionof Derivativ	e Expiration I	Expiration Date (Month/Day/Year)		of Underlying Securities	
Security	or Exercise		any	Code	Securities	(Month/Day				
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Acquired			(Instr. 3 and	d 4)	
	Derivative				(A) or					
	Security	Disposed of		•						
					(D)					
					(Instr. 3, 4,					
					and 5)					
									A	
									Amount	
						Date	Expiration	m: .1	or	
						Exercisable	Date	Title	Number	
				G 1 1	·				of	
				Code '	V (A) (I	<b>)</b> )			Shares	
	\$ 69.72	06/01/2016		A	9,000	(8)	06/01/2026		9,000	

Employee Stock Shares,
Option 0.10
(right to buy)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Forsythe Suzanne V C/O CHANCERY HOUSE, 190 WATERSIDE ROAD HAMILTON INDUSTRIAL PARK LEICESTER, X0 LE5 1QZ

V. P. - Human Resources

## **Signatures**

/s/ J. Adam Zangerle, Authorized Representative under Power of Attorney

06/02/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 185 shares were withheld from the 563 restricted shares that vested on May 31, 2016. These 185 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 563 ordinary shares were awarded to Ms. Forsythe on May 30, 2012 as part of an award of 2,250 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016.
  - 329 shares were withheld from the 1,000 restricted shares that vested on May 31, 2016. These 329 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 1,000 ordinary shares
- (2) were awarded to Ms. Forsythe on May 31, 2013 as part of an award of 4,000 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016. The remaining restrictions on these ordinary shares lapse as follows: 1,000 on May 31, 2017.
  - 140 shares were withheld from the 425 restricted shares that vested on May 31, 2016. These 140 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 425 ordinary shares were
- (3) awarded to Ms. Forsythe on May 30, 2014 as part of an award of 1,700 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016. The remaining restrictions on these ordinary shares lapse as follows: 425 on May 30, 2017 and 425 on May 30, 2018.
  - 124 shares were withheld from the 375 restricted shares that vested on May 31, 2016. These 124 ordinary shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 375 ordinary shares were
- (4) awarded to Ms. Forsythe on August 10, 2015 as part of an award of 1,500 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2016. The remaining restrictions on these ordinary shares lapse as follows: 375 on May 30, 2017; 375 on May 28, 2018; and 375 on May 28, 2019.
- (5) All 1,500 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 375 on October 3, 2016; 375 on October 2, 2017; 375 on October 1, 2018 and 375 on October 1, 2019.
- (6) All 1,400 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 350 on June 1, 2017; 350 on June 1, 2018; 350 on June 3, 2019 and 350 on June 1, 2020.
- (7) Represents 1,090.150 units of the STERIS Corporation 401(k) Plan STERIS Stock Fund which equals 1,066 ordinary share equivalents as of June 1, 2016.

**(8)** 

Reporting Owners 3

### Edgar Filing: Steris plc - Form 4

This option becomes exercisable as follows: 2,250 on June 1,2017; 2,250 on June 1,2018; 2,250 on June 3,2019 and 2,250 on June 1,2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.