Edgar Filing: Financial Engines, Inc. - Form 4

Financial En Form 4 March 16, 20	-										
CUNIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 o	uis box ger o STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 16. SECURITIES									January 31, 2005 average irs per 0.5	
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction											
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Cruse Gina M			2. Issuer Name and Ticker or Trading Symbol Financial Engines, Inc. [FNGN]				-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N		3. Date of Earliest Transaction (Ch						eck all applicable)		
			(Month/Day/Year) 03/15/2016					Director 10% Owner X Officer (give title Other (specify below) EVP, Human Resources			
				f Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SUNNYVA	LE, CA 94089							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if		(Instr. 3,	spose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	03/15/2016	03/15/20	016	Code V M	Amount 1,390	(D) A	Price \$ 0	1,390	D		
Common Stock	03/15/2016	03/15/20	016	F	510	D	\$ 28.01	880	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)				8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	03/15/2016	03/15/2016	М	1,390	(2)	(3)	Common Stock	1,390	\$

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Cruse Gina M 1050 ENTERPRISE WAY SUNNYVALE, CA 94089			EVP, Human Resources					
Signatures								
/s/ Jeffrey C. Grace, Attorney-in-Fact For: Gina M. Cruse			03/16/2016					
<u>**</u> Signature of Reportin	ig Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The restricted stock units vest in four annual installments beginning 3/15/16.
- (3) No expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.