## Edgar Filing: Gevo, Inc. - Form 4

Gevo, Inc.

| Form 4<br>March 14, 20   | )16  |                   |                                 |   |              |                        |   |   |  |   |  |
|--|--|-------------------|---------------------------------|---|--------------|------------------------|---|---|--|---|--|
| <b>FORM</b>  |  |                   |                                 |   |              |                        |   |   | OMB A  | PPROVAL   |  |
| -  | UNITED                                     | STATES            |                                 | ITIES A<br>hington,   |              |                        | NGE (   | COMMISSION  | OMB<br>Number:   | 3235-0287   |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or  | F CHANGES IN BENEFICIAL OWNE<br>SECURITIES |                   |                                 |   |              | NERSHIP OF             | Expires:<br>Estimated a<br>burden hou<br>response | rs per  |  |   |  |
| Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b).   | inue. Section 17                           | (a) of the        |                                 | ility Hold  | ling Con     | npany                  | Act of  | e Act of 1934,<br>f 1935 or Sectio<br>40  |  |   |  |
| (Print or Type R   | Responses)                                 |                   |                                 |   |              |                        |   |   |  |   |  |
| 1. Name and Address of Reporting Person2. IssueWillis MikeSymbol |  |                   | Symbol                          |   |              |                        |   | 5. Relationship of Reporting Person(s) to Issuer  |  |   |  |
|  | Gevo, Ir                                   | Gevo, Inc. [GEVO] |                                 |   |              | (Check all applicable) |   |   |  |   |  |
| (Mont  |  |                   |                                 | . Date of Earliest Transaction<br>Month/Day/Year)<br>)3/14/2016 |              |                        |   | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>CFO                      |  |   |  |
|  |  |                   |                                 | ndment, Date Original<br>th/Day/Year)                           |              |                        |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |   |  |
| ENGLEWO  | OD, CO 80112                               |                   |                                 |   |              |                        |   | Form filed by M<br>Person   | More than One Re   | eporting  |  |
| (City)   | (State)                                    | (Zip)             | Table                           | e I - Non-D   | erivative    | Securi                 | ities Acc   | uired, Disposed o   | f, or Beneficial   | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                             | 2. Transaction Da<br>(Month/Day/Year       | ) Execution any   | med<br>on Date, if<br>Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8)                          |              | ispose                 | d of  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)          | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 03/14/2016                                 |                   |                                 | Code V<br>S(1)  | Amount<br>21 | (D)<br>D               | Price<br>\$<br>0.37                               | (Instr. 3 and 4)<br>24,705  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>ionNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | Date               | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|--|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                               | 7 (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |            |         |       |  |  |  |
|--|---------------|------------|---------|-------|--|--|--|
|  | Director      | 10% Owner  | Officer | Other |  |  |  |
| Willis Mike<br>345 INVERNESS DRIVE SOUTH<br>BUILDING C, SUITE 310<br>ENGLEWOOD, CO 80112 |               |            | CFO     |       |  |  |  |
| Signatures   |               |            |         |       |  |  |  |
| /s/ Geoff Williams, as Attorney in Fact  | (             | )3/14/2016 |         |       |  |  |  |
| **Signature of Reporting Person  |               | Date       |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold to satisfy certain tax obligations of the reporting person triggered by the vesting of shares of restricted common stock. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted June 12, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.