Edgar Filing: GENWORTH FINANCIAL INC - Form 4

GENWORTH FINANCIAL INC

Form 4

Class A Common

Stock Class A

Stock Class A

Stock

Common

Common

02/20/2016

02/20/2016

February 22, 20)16										
FORM 4 UNITED STATES SECURITIES AND EVOLANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287		
Check this b if no longer subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: Estimated a burden hou response	ted average hours per	
	Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Res	ponses)										
1. Name and Address of Reporting Person * Groh Kelly L			2. Issuer Name and Ticker or Trading Symbol				3	5. Relationship of Reporting Person(s) to Issuer			
		GENWORTH FINANCIAL INC [GNW]				С	(Check all applicable)				
(Last) C/O GENWOI INC., 6620 WI	3. Date of Earliest Transaction (Month/Day/Year) 02/20/2016					Director 10% OwnerX Officer (give title Other (specify below) EVP & Chief Financial Officer					
	(Street)	treet) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person				
RICHMOND, VA 23230 — Form filed by M Person								More than One Re	porting		
(City)	(State) (Z	Zip)	Table	I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
	. Transaction Date Month/Day/Year)	ansaction Date th/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price			of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

F

1,063 A

409 (2) D

<u>(1)</u>

24,251

23,842

1,294.8963

D

D

by 401(k)

Edgar Filing: GENWORTH FINANCIAL INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number iom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	02/20/2016		M	1,063	(3)	<u>(3)</u>	Class A Common Stock	1,063	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Groh Kelly L C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230

EVP & Chief Financial Officer

Signatures

/s/ David F. Kurzawa, by power of attorney

02/22/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) The Company withheld shares of common stock to satisfy the tax withholding obligation for the Reporting Person's Restricted Stock Units that vested on February 20, 2016.
- (3) Restricted Stock Units vested and converted to Class A Common Stock on February 20, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2