#### DIODES INC /DEL/

Form 4

December 18, 2015

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005
Estimated average

burden hours per response... 0.5

Check this box if no longer subject to

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LU KEH SHEW Issuer Symbol DIODES INC /DEL/ [DIOD] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify 4949 HEDGCOXE ROAD, SUITE 08/20/2015 below) 200 President & CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### PLANO, TX 75024

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Beneficial (Instr. 3) Code (D) Beneficially Form: Ownership (Instr. 4) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Direct (D) Following or Indirect Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Amount (D) Price Diodes Incorporated  $G^{(1)}$ 08/20/2015 12,600 D \$0 90,400 I Revocable Trust Common Stock Diodes Incorporated 08/20/2015  $G^{(1)}$ 7,000 \$0 Ι Custodial (2) A 40,550 Common Stock Diodes Incorporated 08/21/2015  $G^{(1)}$ 2,800 \$0 43,350 Ι Custodial (2) Common Stock

Person

## Edgar Filing: DIODES INC /DEL/ - Form 4

Diodes Incorporated Common Stock	08/24/2015	G <u>(1)</u>	2,800	A	\$ 0	46,150	I	Custodial (2)
Diodes Incorporated Common Stock						1,621,720	D	
Diodes Incorporated Common Stock						476,783	I	Lu Grandchildren's Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Transfer de la companya de la compan	Director	10% Owner	Officer	Other				
LU KEH SHEW 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024	X		President & CEO					

Reporting Owners 2

## **Signatures**

Richard D. White as Power of Attorney for Keh-Shew Lu

12/18/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This transaction involved a gift of securities by the reporting person to his grandchildren under the Uniform Gifts to Minors Act. The reporting person is custodian of his minor grandchildrens accounts. The transaction reported herein involves a transfer of 12,600 shares
- (1) from the reporting persons revocable trust to the custodial accounts. The reporting person disclaims beneficial ownership of the shares held by his minor grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- These shares are held in accounts for the reporting persons minor grandchildren and the reporting person is the custodian of those

  (2) accounts. The reporting person disclaims ownership of the shares held in those custodial accounts, and this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3