Intercontinental Exchange, Inc.

Form 4

October 28, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Goone David S			2. Issuer Name <b>and</b> Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]				]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of	of Earliest T	ransaction			(Clieci	х ан аррисаотс	·)
5660 NEW	NORTHSIDE	DRIVE	(Month/I 10/26/2	Day/Year) 2015				Director  X Officer (give pelow)  Chief S		Owner er (specify
				Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
ATLANTA, GA 30328								Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secur	ities Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execution		3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/26/2015			M	1,918 (1)	A	\$ 104.23	30,602	D	
Common Stock	10/26/2015			M	1,249 (1)	A	\$ 80.17	31,851	D	
Common Stock	10/26/2015			M	4,044 (1)	A	\$ 112.15	35,895	D	
Common Stock	10/26/2015			S	10,050 (1)	D	\$ 250	25,845 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 104.23	10/26/2015		M		1,918	(3)	12/22/2016	Common Stock	1,918
Employee Stock Option (right to buy) Holding	\$ 80.17	10/26/2015		M		1,249	(3)	12/16/2018	Common Stock	1,249
Employee Stock Option (right to buy) Holding	\$ 112.15	10/26/2015		M		4,044	(3)	01/17/2022	Common Stock	4,044

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Goone David S 5660 NEW NORTHSIDE DRIVE ATLANTA, GA 30328			Chief Strategic Officer				

Reporting Owners 2

## **Signatures**

/s/Andrew J. Surdykowski, Attorney-in-fact

10/28/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
  - The common stock number referred in Table I is an aggregate number and represents 14,258 shares of common stock, 4,926 unvested restricted stock units and 6,661 unvested performance based restricted stock units, for which the performance period has been satisfied.
- (2) The restricted stock units and the performance based restricted stock units vest over a three year period, in which 33.33% of the restricted stock units and the performance based restricted stock units vest each year. The satisfaction of the performance target for the 2015 performance based restricted stock units and the corresponding number of shares of common stock to be issued pursuant to that award will not be determined until February 2016 and will be reported at that time.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3