Edgar Filing: Castle Brands Inc - Form 4

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Form 4											
August 18, 2	2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMMISSION	OMB APPROVAL		
Washington, D.C. 20549							01411411551014	OMB Number:	3235-0287		
Check th if no long							Expires: Janua	January 31, 2005			
subject to Section 1 Form 4 c Form 5	or SIAIEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated a burden hour response	ited average hours per		
obligatio may com <i>See</i> Instr 1(b).	tinue. Section 17(a) of the	Public U		ding Con	npany	y Act of	1935 or Section	1		
(Print or Type l	Responses)										
1. Name and Address of Reporting Person <u>*</u> LAMPEN RICHARD			2. Issuer Name and Ticker or Trading Symbol Castle Brands Inc [ROX]				ng	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date o	f Earliest T	ransaction			(Check	eck all applicable)		
4400 BISCAYNE BOULEVARD			(Month/Day/Year) 08/17/2015					X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MIAMI, FL 33137							Form filed by Me Person				
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)			(D)	Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	or (D)	Price \$	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	08/17/2015			Р	20,000	А	(1) (1)	1,051,720	D		
Common Stock								940,000	I	By spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
LAMPEN RICHARD 4400 BISCAYNE BOULEVARD MIAMI, FL 33137	Х		President and CEO					
Signatures								

/s/ Richard J.	
Lampen	08/18/2015
<u>**</u> Signature of	Date

Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$1.13 to \$1.14, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (1) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.