

Amphastar Pharmaceuticals, Inc.

Form 3

June 17, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Zhou Rong

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

06/09/2015

3. Issuer Name **and** Ticker or Trading Symbol
Amphastar Pharmaceuticals, Inc. [AMPH]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

EVP, Production

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting PersonC/O AMPHASTAR
PHARMACEUTICALS,
INC.,Â 11570 6TH STREET

(Street)

RANCHO
CUCAMONGA,Â CAÂ 91730

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

25,688 ⁽¹⁾

D

Â

Common Stock

99,668

I

See footnote ⁽²⁾

Common Stock

5,000

I

See footnote ⁽³⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee stock option (right to buy)	Â <u>(4)</u>	12/21/2016	Common Stock	12,000	\$ 30.57	D	Â
Employee stock option (right to buy)	Â <u>(4)</u>	12/30/2017	Common Stock	24,000	\$ 31.65	D	Â
Employee stock option (right to buy)	Â <u>(4)</u>	08/28/2018	Common Stock	16,000	\$ 35.32	D	Â
Employee stock option (right to buy)	Â <u>(4)</u>	08/05/2019	Common Stock	16,000	\$ 20.26	D	Â
Employee stock option (right to buy)	Â <u>(5)</u>	03/08/2021	Common Stock	20,000	\$ 11.41	D	Â
Employee stock option (right to buy)	Â <u>(6)</u>	12/22/2021	Common Stock	20,000	\$ 14.23	D	Â
Employee stock option (right to buy)	Â <u>(7)</u>	11/07/2022	Common Stock	25,000	\$ 10.48	D	Â
Employee stock option (right to buy)	Â <u>(8)</u>	07/30/2023	Common Stock	28,000	\$ 10.93	D	Â
Employee stock option (right to buy)	Â <u>(9)</u>	04/14/2024	Common Stock	32,891	\$ 14.4	D	Â
Employee stock option (right to buy)	Â <u>(10)</u>	03/26/2025	Common Stock	18,524	\$ 14.95	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zhou Rong C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	Â	Â	Â EVP, Production	Â

Signatures

/s/ Ken Stupak, by power of attorney
06/17/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,187 shares represented by deferred stock units, or DSUs, which vest in four equal annual installments beginning on March 26, 2016.
- (2) The shares are held of record by the Zhou Family Trust for which the reporting person serves as a trustee.
- (3) The shares are held of record by the reporting person's spouse.
- (4) Shares subject to the option are fully vested and immediately exercisable.
- (5) Shares subject to the option vest in five equal annual installments beginning on March 8, 2012.
- (6) Shares subject to the option vest in four equal annual installments beginning on December 22, 2012.
- (7) Shares subject to the option vest in four equal annual installments beginning on November 7, 2013.
- (8) Shares subject to the option vest in four equal annual installments beginning on July 30, 2014.
- (9) Shares subject to the option vest in four equal annual installments beginning on April 14, 2015.
- (10) Shares subject to the option vest in four equal annual installments beginning on March 26, 2016.

Â

Remarks:

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.