HCA Holdings, Inc. Form 3
February 06, 2015

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HCA Holdings, Inc. [HCA] Englebright Jane D. (Month/Day/Year) 01/29/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE PARK PLAZA (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person NASHVILLE, TNÂ 37203 (give title below) (specify below) Form filed by More than One SVP and Chief Nursing Officer Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 4,553 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
			(Instr. 4)		Price of	Derivative (Instr	(Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:		
					Security	Direct (D)		
						or Indirect		

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	(1)	01/30/2017	Common Stock	6,608	\$ 5.3074	D	Â
Non-Qualified Stock Option (right to buy)	(2)	01/30/2017	Common Stock	9,892	\$ 11.3208	D	Â
Non-Qualified Stock Option (right to buy)	(3)	01/30/2017	Common Stock	6,608	\$ 5.3074	D	Â
Stock Appreciation Right	(4)	02/08/2022	Common Stock	5,000	\$ 22.95	D	Â
Stock Appreciation Right	(5)	02/08/2022	Common Stock	5,000	\$ 22.95	D	Â
Stock Appreciation Right	(6)	02/08/2022	Common Stock	5,000	\$ 22.95	D	Â
Stock Appreciation Right	(7)	02/06/2023	Common Stock	1,750	\$ 37.18	D	Â
Stock Appreciation Right	02/06/2014	02/06/2023	Common Stock	1,750	\$ 37.18	D	Â
Stock Appreciation Right	(8)	02/06/2023	Common Stock	5,250	\$ 37.18	D	Â
Stock Appreciation Right	(9)	02/05/2024	Common Stock	5,500	\$ 47.97	D	Â
Restricted Stock Units	(10)	02/08/2022	Common Stock	876	\$ (11)	D	Â
Restricted Stock Units	(12)	02/06/2023	Common Stock	1,500	\$ (11)	D	Â
Restricted Stock Units	(13)	02/05/2024	Common Stock	1,500	\$ (11)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Englebright Jane D. ONE PARK PLAZA NASHVILLE, TN 37203	Â	Â	SVP and Chief Nursing Officer	Â		
Signatures						
/s/ Kevin A. Ball, Attorney-in-Fact	02/06/2015					
**Signature of Reporting Person	Date					

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in two equal annual installments beginning on January 30, 2011.
- (2) The option vested at the end of fiscal years 2007, 2008 and 2009 based upon the achievement of certain annual EBITDA performance targets.
- (3) The option vested at the end of fiscal years 2010 and 2011 based upon the achievement of certain annual EBITDA performance targets.
  - On February 8, 2012, the reporting person was granted 10,000 stock appreciation rights. The stock appreciation rights are eligible to vest in equal increments of up to 25% at the end of fiscal years 2012, 2013, 2014 and 2015 based upon the extent to which certain EBITDA
- performance targets have been met for the applicable fiscal year. EBITDA performance criteria for 2012 and 2013 was met, resulting in the vesting of 5,000 stock appreciation rights.
- (5) The stock appreciation rights vested in two equal annual installments beginning on February 8, 2013.
- (6) The stock appreciation rights vest in two equal annual installments beginning on February 8, 2015.
- On February 6, 2013, the reporting person was granted 7,000 stock appreciation rights. The stock appreciation rights are eligible to vest in equal increments of up to 25% at the end of fiscal years 2013, 2014, 2015 and 2016 based upon the extent to which certain EBITDA performance targets have been met for the applicable fiscal year. EBITDA performance criteria for 2013 was met, resulting in the
- performance targets have been met for the applicable fiscal year. EBITDA performance criteria for 2013 was met, resulting in the vesting of 1,750 stock appreciation rights.
- (8) The stock appreciation rights vest in three equal annual installments beginning on February 6, 2015.
- (9) The stock appreciation rights vest in four equal annual installments beginning on February 5, 2015.
- (10) The restricted stock units vest in two equal annual installments beginning February 8, 2015.
- (11) Each restricted stock unit represents a contingent right to receive one share of HCA Holdings, Inc. common stock.
- (12) The restricted stock units vest in three equal annual installments beginning February 6, 2015.
- (13) The restricted stock units vest in four equal annual installments beginning February 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.