### Edgar Filing: AEROHIVE NETWORKS, INC - Form 3

#### AEROHIVE NETWORKS, INC

Form 3

March 27, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Number: Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **CANESSA REMO** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/27/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AEROHIVE NETWORKS, INC [HIVE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O AEROHIVE NETWORKS, INC., 330 GIBRALTAR DRIVE

(Street)

10% Owner \_X\_ Director Officer \_ Other

(give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SUNNYVALE, CAÂ 94089

(City) (State)

(Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

Price of

6. Nature of Indirect Ownership Beneficial Ownership Form of Derivative

(Instr. 5)

Expiration Exercisable Date

Title

(Instr. 4)

Amount or Number of

Security: Derivative Security Direct (D)

1

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Shares or Indirect (I) (Instr. 5)  $\hat{A} \stackrel{\text{(1)}}{\text{(right to buy)}} \hat{A} \stackrel{\text{(1)}}{\text{(1)}} 09/17/2023 \stackrel{\text{Common}}{\text{Stock}} 66,919 \$ 9.575 \quad D \quad \hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

CANESSA REMO
C/O AEROHIVE NETWORKS, INC.
330 GIBRALTAR DRIVE
SUNNYVALE, CAÂ 94089

## **Signatures**

/s/ Steve Debenham, by power of attorney

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-fourth of the shares subject to the option vest on September 13, 2014 and one forty-eighth of the shares vest monthly thereafter.

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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