Edgar Filing: Pena Mariani Maria Alejandra - Form 4

Pena Mariani Ma Form 4	ria Alejandra	a									
June 12, 2012											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations	STATEN Filed pur	suant to S	Section	SECUE	Estimated burden hou response	Estimated average burden hours per response 0.5					
may continue. <i>See</i> Instruction 1(b).				•	•	y Act of 1	of 1935 or Sectio 940	on			
(Print or Type Respon	nses)										
1. Name and Address of Reporting Person <u>*</u> Pena Mariani Maria Alejandra			2. Issuer Name and Ticker or Trading Symbol Castle Brands Inc [ROX]			5. Relationship of Reporting Person(s) to Issuer					
(Last) ((First) (1						(Check all applicable)				
122 EAST 42ND STREET, SUITE 4700			(Month/Day/Year) 06/08/2012			Director 10% Owner X Officer (give title Other (specify below) below) SVP-Marketing					
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					l	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
NEA YORK, NY	¥ 10168						Person	More than One K	eporting		
(City) (State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	cquired, Disposed of	of, or Beneficia	lly Owned		
	ansaction Date th/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V		(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report on	a separate line	for each cla	ass of sec	urities benet	ficially own	ed directly	or indirectly.				
					inform require	ation cont ed to respo ys a curre	spond to the colle tained in this form ond unless the for ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

8 E S

(Instr. 3)	Price of Derivative Security			(Instr. 8)	Acquired (A or Disposed (D) (Instr. 3, 4, and 5)				
				Code V	7 (A) (I	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 0.31	06/08/2012		A	20,000	<u>(1)</u>	06/08/2022	Common Stock	20,000
Reporting Owners									

Reporting Owners

Reporting Owner Name / Address		Rela		
	Director	10% Owner	Officer	Other
Pena Mariani Maria Alejandra 122 EAST 42ND STREET, SUITE 4700 NEA YORK, NY 10168			SVP-Marketing	
Signatures				
/s/ Maria Alejandra Pena				
Mariani 06/2	11/2012			

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option will vest in four equal annual installments beginning on the first anniversary of the grant date provided that Ms. Pena is then (1) still an employee of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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