KROGER CO Form 4

December 02, 2010

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

D

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D

D

January 31, 2005

0.5

Estimated average burden hours per response...

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Jaguar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

11/30/2010

11/30/2010

12/01/2010

12/01/2010

Stock

Stock

Stock

Stock

Common

Common

Common

VAN OFLEN MARY ELIZABETH			ABETH Symbol	•				Issuer			
	a a a a a a a a a a a a a a a a a a a		KROG	KROGER CO [KR]				(Check all applicable)			
	(Last)	(First) (	,	of Earliest T	ransaction						
	1014 VINE	`	(Month/Day/Year) 11/30/2010				DirectorX Officer (give below) Vice Pres				
(Street)			4. If Am	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
	CINCINNA	ATI, OH 45202	Filed(Mo	onth/Day/Yea	r)			Applicable Line) _X_ Form filed by C Form filed by M Person			
	(City)	(State)	(Zip) Tab	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial						lly Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common	11/20/2010		М	4.000	۸	¢ 17 21	27 125 0070	D		

4,000

8,000

4,500

4,500

(1)

D

\$ 23.5

\$ 24

\$ 17.31 27,135.9979

(2)

19,135.9979

23,635.9979

19,135.9979

M

S

M

S

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Non-Qualified Stock Option	\$ 17.31	11/30/2010		M	4,000	(3)	05/06/2014	Common Stock	4,00
Non-Qualified Stock Option	\$ 22.995	12/01/2010		M	4,500	(3)	05/09/2012	Common Stock	4,50

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN OFLEN MARY ELIZABETH 1014 VINE STREET CINCINNATI, OH 45202

Vice President & Controller

#### **Signatures**

/s/ Mary Elizabeth Van Oflen, by Bruce M. Gack, Attorney-in-Fact

12/02/2010

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 22, 2010.
- (2) The total amount of securities directly owned by the reporting person includes shares in the Company's employee benefit plans that are deemed to be 'tax-conditioned plans' pursuant to Rule 16b-3, to the extent disclosed on reports received from plan trustees.
- (3) These options were granted under a long-term incentive plan of The Kroger Co. and vest in equal annual installments in whole amounts over a five-year period, at the rate of 20% per year commencing one year from the date of the grant.

Reporting Owners 2

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