Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 3

FINANCIAL INSTITUTIONS INC Form 3 May 14, 2009 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> HARRISON RICHARD J			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol FINANCIAL INSTITUTIONS INC [FISI]			
(Last)	(First)	(Middle)	05/06/2009	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
220 LIBER	TY STREE	ET					
(Street) WARSAW, NY 14569				(Check all applicable)			6. Individual or Joint/Group
				Director 10% Ow XOfficerOther (give title below) (specify below) Senior Vice President		r ow)	Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Table I - N	- Non-Derivative Securities Beneficially Owned			
1.Title of Secu (Instr. 4)	urity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial ership : 5)
Common S	tock		13,500		D	Â	
Common Stock			15 <u>(1)</u>		Ι	Held in 401K plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

OMB APPROVAL

3235-0104

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average burden hours per

Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	02/04/2005(2)	02/04/2014	Common Stock	1,345	\$ 23.8	D	Â
Stock Option (right to buy)	02/23/2006 <u>(3)</u>	02/23/2015	Common Stock	1,773	\$ 21.05	D	Â
Stock Option (right to buy)	07/26/2007(4)	07/26/2016	Common Stock	1,650	\$ 19.75	D	Â
Stock Option (right to buy)	07/25/2008(5)	07/25/2017	Common Stock	1,500	\$ 19.41	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HARRISON RICHARD J 220 LIBERTY STREET WARSAW, NY 14569	Â	Â	Senior Vice President	Â		
Signatures						
Michael D. Grover for Richard	т					

Michael D. Grover for Richard J.	05/14/2009		
Harrison	03/14/2009		
**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held under 401(K) as of 5/6/2009
- (2) Option vested in four equal annual installments beginning February 4, 2005.
- (3) Option vested in four equal annual installments beginning February 23, 2006.
- (4) Option vests in four equal annual installments beginning July 26, 2007.
- (5) Option vests in four equal annual installments beginning July 25, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.