

DYNAVAX TECHNOLOGIES CORP

Form 4

February 06, 2007

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DINA DINO**

(Last) (First) (Middle)

**C/O DYNAVAX TECHNOLOGIES  
CORPORATION, 2929 SEVENTH  
STREET, STE. 100**

(Street)

**BERKELEY, CA 94710**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**DYNAVAX TECHNOLOGIES  
CORP [DVAX]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/02/2007**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
President, CEO and Director

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/03/2006		G V	Amount 100,000 (1)	D (2) 203,214	I	By Trust (3)
Common Stock					3,333	I	By Children's Trust (4)
Common Stock					8,333	I	By Children's Trust (5)
Common					3,333	I	By

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Stock

Children's  
Trust <sup>(6)</sup>

Common  
Stock

10,773 <sup>(7)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 6.19	02/02/2007		A		250,000		<u>(8)</u>	02/02/2017	Common Stock	250,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DINA DINO C/O DYNAVAX TECHNOLOGIES CORPORATION 2929 SEVENTH STREET, STE. 100 BERKELEY, CA 94710	X		President, CEO and Director	

## Signatures

/s/ Dino Dina 02/05/2007

<sup>(8)</sup>Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On October 3, 2006 the Reporting Person gifted 100,000 shares of common stock to a non-profit organization as a charitable donation.

(2) Not applicable.

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- (3) Shares are held by the Dino Dina 1999 Revocable Trust, of which the Reporting Person is Trustee.
- (4) Shares are held by the Stefania Dina Irrevocable Trust, of which the Reporting Person is Trustee.
- (5) Shares are held by the Francesco Dina Irrevocable Trust, of which the Reporting Person is Trustee.
- (6) Shares are held by the Jordan Moncharmont Irrevocable Trust, of which the Reporting Person is Trustee.
- (7) Includes shares purchased under the Issuer's 2004 Employee Stock Purchase Plan as follows: 2,500 shares on August 13, 2004, 2,500 shares on February 14, 2005, 1,500 shares on August 12, 2005, 2,500 shares on February 14, 2006 and 1,773 shares on August 14, 2006.
- (8) This option shall vest in equal annual installments over four years on each anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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