

BARRY THOMAS

Form 3

September 21, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

BARRY THOMAS

(Last) (First) (Middle)

2 CRESCENT PLACE

(Street)

OCEANPORT, NJ 07757

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/21/2006

3. Issuer Name and Ticker or Trading Symbol

COMMVault SYSTEMS INC [CVLT]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Accounting Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

43,164

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

# Edgar Filing: BARRY THOMAS - Form 3

				Shares		(I) (Instr. 5)	
Series B Preferred Stock	Â (1)	Â (1)	Common Stock	20,332	\$ (1)	D	Â
Stock Option (Right to Buy)	Â (2)	01/29/2014	Common Stock	2,500	\$ 7.2	D	Â
Stock Option (Right to Buy)	Â (2)	05/05/2015	Common Stock	5,000	\$ 4.5	D	Â
Stock Option (Right to Buy)	Â (2)	05/03/2016	Common Stock	7,500	\$ 12.6	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARRY THOMAS 2 CRESCENT PLACE OCEANPORT,Â NJÂ 07757	Â	Â	Â Chief Accounting Officer	Â

## Signatures

/s/ Thomas  
Barry  
09/21/2006  
 \*\*Signature of  
Reporting Person  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The convertible preferred stock will be converted at the closing of the offering into the number of shares shown. Each share of preferred stock converts into two shares of common stock.
- (2) The stock options vest as follows: 25% of the options vest one year from the date of grant, with the remaining options vesting in equal quarterly installments for three years thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.