

Richards Steven W
Form 3
November 10, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Richards Steven W
(Last) (First) (Middle)

2630 SOUTH HARBOR
BOULEVARD

(Street)

SANTA ANA,Â CAÂ 92704

(City) (State) (Zip)

1. Title of Security
(Instr. 4)

Common Stock

2. Date of Event Requiring Statement

(Month/Day/Year)
11/03/2005

3. Issuer Name **and** Ticker or Trading Symbol
TTM TECHNOLOGIES INC [TTMI]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Vice President and Treasurer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned
(Instr. 4)

500

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

D

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: Richards Steven W - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	04/28/2010	Common Stock	1,750 <u>(2)</u>	\$ 2.63	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	09/20/2010	Common Stock	4,000	\$ 16	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	04/28/2010	Common Stock	11,188 <u>(5)</u>	\$ 2.63	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	03/11/2012	Common Stock	7,800 <u>(6)</u>	\$ 10.15	D	Â
Employee Stock Option (Right to Buy)	Â <u>(7)</u>	12/30/2012	Common Stock	28,000 <u>(8)</u>	\$ 2.76	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	12/17/2013	Common Stock	40,000	\$ 13.68	D	Â
Employee Stock Option (Right to Buy)	Â <u>(9)</u>	01/27/2015	Common Stock	4,750	\$ 8.98	D	Â
Employee Stock Option (Right to Buy)	Â <u>(10)</u>	05/05/2015	Common Stock	4,750	\$ 7.77	D	Â
Employee Stock Option (Right to Buy)	Â <u>(11)</u>	08/03/2015	Common Stock	4,750	\$ 6.86	D	Â
Employee Stock Option (Right to Buy)	Â <u>(12)</u>	11/03/2015	Common Stock	4,750	\$ 8.67	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Richards Steven W 2630 SOUTH HARBOR BOULEVARD SANTA ANA, CA 92704	Â	Â	Â Vice President and Treasurer	Â

Signatures

Steven Richards 11/10/2005

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were fully vested as of April 28, 2004.
- (2) 12,500 of the total number of shares subject to the option (14,250) were acquired and sold pursuant to an exercise of options in 2001, 2003, and 2004.
- (3) The options were fully vested as of June 8, 2005.

Edgar Filing: Richards Steven W - Form 3

- (4) The options were fully vested as of December 16, 2004.
- (5) 3,062 of the total number of shares subject to the option (14,250) were acquired and sold pursuant to an exercise of options in 2001 and 2004.
- (6) 5,200 of the total number of shares subject to the option (13,000) were acquired and sold pursuant to an exercise of options in 2004.
- (7) 20% of the options vest and become exercisable on each anniversary of the date of the grant beginning on December 30, 2003.
- (8) 7,000 of the total number of shares subject to the option (35,000) were acquired and sold pursuant to an exercise of options in 2004.
- (9) The options were fully vested on September 14, 2005.
- (10) 25% of the options vest and become exercisable on each anniversary of the date of the grant beginning on May 5, 2006.
- (11) 25% of the options vest and become exercisable on each anniversary of the date of the grant beginning on August 3, 2006.
- (12) 25% of the options vest and become exercisable on each anniversary of the date of the grant beginning on November 3, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.