NOMURA HOLDINGS INC
Form 6-K
December 13, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of December 2018

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F __X__ Form 40-F _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): _____

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from November 1, 2018 to November 30, 2018.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: December 13, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from November 1, 2018 to November 30, 2018 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on December 13, 2018]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders
Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)
as of November 30, 2018

		Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018) (Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))		100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	1,715,000	878,825,540
	November 15	1,900,000	976,674,040
	November 16	1,764,700	901,010,430
	November 19	1,750,000	898,481,060
	November 20	1,757,500	892,706,370
	November 21	1,750,000	893,213,490
	November 22	1,669,900	850,440,490
	November 26	1,680,000	867,087,230
	November 27	1,538,100	794,605,670
	November 28	1,640,000	852,963,550

	November 29	1,680,000	865,666,230
	November 30		
Total		18,845,200	9,671,674,100
Aggregate shares repurchased as of the end of this reporting month		77,458,300	41,104,143,370
Progress of share repurchase (%)		77.5	58.7

Table of Contents

2. Status of disposition

as of November 30, 2018

		Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)		
Subtotal			
Other (exercise of stock acquisition rights)	(Date)	30,500	1,520,500
	November 1	785,200	5,553,200
	November 2	492,100	4,067,100
	November 5	348,600	1,540,600
	November 6	194,800	3,770,800
	November 7	152,300	5,509,300
	November 8	130,200	4,302,200
	November 9	273,300	2,657,300
	November 12	38,600	634,600
	November 13	136,400	3,712,400
	November 14	121,000	2,803,000
	November 15	6,400	600,400
	November 16	10,800	10,800

	November 19	92,500	92,500
	November 21	240,300	240,300
	November 22	107,500	1,592,500
	November 26	117,900	117,900
	November 27	26,000	26,000
	November 28	38,800	38,800
	November 29	48,800	1,533,800
	November 30		
Subtotal		3,392,000	40,324,000
Total		3,392,000	40,324,000

3. Status of shares held in treasury

as of November 30, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	313,887,054