NOMURA HOLDINGS INC Form 6-K July 11, 2018 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of July 2018

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Forn	ı 40-F.
Form 20-F <u>X</u> Form 40-F	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T 101(b)(1):	Rule
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T 101(b)(7):	Rule

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from June 1, 2018 to June 30, 2018.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: July 11, 2018 By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from June 1, 2018 to June 30, 2018

filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on July 11, 2018]

Class of Shares: Common Stock

1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board) as of June 30, 2018

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial		
results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	
Total	0	0
Aggregate shares repurchased as of the end of this reporting month	0	0
Progress of share repurchase (%)	0	0

2. Status of disposition

as of June 30, 2018

	Number of sharthe reporti	•	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of	_		_
new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange or			
demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares			
	June 28	86	46,517
Subtotal		86	46,517
Other (exercise of stock acquisition rights)	(Date)	36,900	927,900
	June 1	48,400	48,400
	June 4	61,700	657,700
	June 5	67,900	3,042,900
	June 6	28,200	28,200
	June 7	10,000	2,985,000
	June 8	48,900	1,238,900
	June 11	24,400	2,405,400
	June 12	62,600	1,254,600
	June 13	19,800	1,209,800
	June 14	43,100	341,100
	June 15	49,300	4,514,300
	June 19	31,700	3,011,700
	June 20	31,700	6,880,700
	June 21	23,200	917,200

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	June 22	61,500	657,500
	June 25	14,100	2,100,100
	June 26	17,500	17,500
	June 27	45,700	45,700
	June 28	46,800	46,800
	June 29		
Subtotal		773,400	32,331,400
Total		773,486	32,377,917

3. Status of shares held in treasury

as of June 30, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	243,917,783