ALCOA INC. Form 11-K June 27, 2016 Table of Contents

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

FORM 11-K

FOR ANNUAL REPORTS OF EMPLOYEE STOCK

REPURCHASE SAVINGS AND SIMILAR PLANS

PURSUANT TO SECTION 15(d) OF THE

SECURITIES ACT OF 1934

(Mark One):

x ANNUAL REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

FOR THE YEAR ENDED DECEMBER 31, 2015

" TRANSITION REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

FOR THE TRANSITIONAL PERIOD FROM _____ TO _____

COMMISSION FILE NUMBER 001-3610

A. Full title of the plan and the address of the plan, if different from that of the issuer named below: **RTI EMPLOYEE SAVINGS**

AND INVESTMENT PLAN

B. Name of issuer of the securities held pursuant to the plan and the address of its principal executive office: ALCOA, INC.

390 Park Avenue

New York, NY 10022-4608

Table of Contents

RTI EMPLOYEE SAVINGS AND INVESTMENT PLAN

Financial Statements and Supplemental Schedule

As of December 31, 2015 and 2014 and for the Year Ended December 31, 2015

TABLE OF CONTENTS

Required Information/Index to Exhibits	1 2
Signatures	
Consent of Independent Registered Public Accounting Firm	3
Report of Independent Registered Public Accounting Firm	4
Financial Statements:	
<u>Statements of Net Assets Available for Benefits</u> <u>Statement of Changes in Net Assets Available for Benefits</u> <u>Notes to Financial Statements</u>	5 6 7
Supplemental Schedule:	
Form 5500 Schedule H, Line 4i Schedule of Assets (Held at End of Year)	14

Table of Contents

REQUIRED INFORMATION

The financial statements of the RTI Employee Savings and Investment Plan (the Plan) and accompanying report of the independent registered public accounting firm for such Plan set forth as Exhibit 99.1 are being furnished pursuant to the rules and regulations of the Securities and Exchange Commission.

Index to Exhibits

- Exhibit 23.1 Consent of Independent Registered Public Accounting Firm
- Exhibit 99.1 Report of Independent Registered Public Accounting Firm and the RTI Employee Savings and Investment Plan Financial Statements as of December 31, 2015 and 2014 and for the year ended December 31, 2015

1

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant (who administers the employee benefit plan) has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

RTI EMPLOYEE SAVINGS AND INVESTMENT PLAN

- By: RTI International Metals, Inc., its administrator
- By: /s/ William F. Oplinger William F. Oplinger Benefits Management Committee Member
- By: /s/ Roy C. Harvey Roy C. Harvey Benefits Management Committee Member
- By: /s/ Vasantha Nair Vasantha Nair Benefits Management Committee Member

2

Dated: June 27, 2016