NOMURA HOLDINGS INC Form 6-K March 09, 2015 Table of Contents

#### FORM 6-K

#### U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

**Commission File Number: 1-15270** 

For the month of March 2015

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or For	m 40-F.
Form 20-F <u>X</u> Form 40-F	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-7 101(b)(1):	Rule
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-7 101(b)(7):	Rule

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Information furnished on this form:

#### **EXHIBIT**

# **Exhibit Number**

1. (English Translation) Share Buyback Report for the reporting month from February 1, 2015 to February 28, 2015

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

### NOMURA HOLDINGS, INC.

Date: March 9, 2015 By: /s/ Hajime Ikeda

Hajime Ikeda Managing Director

[Translation of Share Buyback Report for the reporting month from February 1, 2015 to February 28, 2015 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on March 9, 2015]
Class of Shares: Common Stock

## 1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

Total Repurchase Repurchase         Authorization pursuant to the resolution of the Board (January 29, 2015)       40,000,000       30,000,000,000         (Period of repurchase: from February 16, 2015 to March 27, 2015)       (Date)       Feb 16       2,457,100       1,673,227,080         Feb 17       3,410,000       2,332,835,730       Feb 18       2,574,700       1,786,445,770         Feb 18       2,574,700       1,786,445,770       Feb 19       3,126,500       2,214,214,650         Feb 20       4,665,600       3,354,868,580       Feb 23       4,341,600       3,152,602,100         Feb 23       4,341,600       3,152,602,100       Feb 25       4,200,900       3,050,647,130         Feb 25       4,200,900       3,050,647,130       Feb 26       2,479,200       1,800,610,090         Feb 26       2,479,200       1,800,610,090       Feb 27       3,023,200       2,215,787,950         Total       35,665,300       25,469,643,180         Aggregate shares repurchased as of the end of this reporting month       35,665,300       25,469,643,180         Progress of share repurchase (%)       89.2       84.9		as of February 28, 2015		
Authorization pursuant to the resolution of the Board (January 29, 2015)  40,000,000 30,000,000,000 (Period of repurchase: from February 16, 2015 to March 27, 2015)  Repurchases during this reporting month (Date of repurchase)  (Date)  Feb 16 2,457,100 1,673,227,080 Feb 17 3,410,000 2,332,835,730 Feb 18 2,574,700 1,786,445,770 Feb 19 3,126,500 2,214,214,650 Feb 20 4,665,600 3,354,868,580 Feb 23 4,341,600 3,152,602,100 Feb 24 5,386,500 3,888,404,100 Feb 25 4,200,900 3,050,647,130 Feb 26 2,479,200 1,800,610,090 Feb 27 3,023,200 2,215,787,950  Total  Aggregate shares repurchased as of the end of this reporting month  35,665,300 25,469,643,180				Repurchase
(January 29, 2015)  (Period of repurchase: from February 16, 2015 to March 27, 2015)  Repurchases during this reporting month (Date of repurchase)  (Date)  Feb 16		Numbe	er of Shares	Amount (JPY)
repurchase)  (Date) Feb 16	(January 29, 2015) (Period of repurchase: from February 16, 2015 to		40,000,000	30,000,000,000
Feb 16	Repurchases during this reporting month (Date of			
Feb 17 3,410,000 2,332,835,730 Feb 18 2,574,700 1,786,445,770 Feb 19 3,126,500 2,214,214,650 Feb 20 4,665,600 3,354,868,580 Feb 23 4,341,600 3,152,602,100 Feb 24 5,386,500 3,888,404,100 Feb 25 4,200,900 3,050,647,130 Feb 26 2,479,200 1,800,610,090 Feb 27 3,023,200 2,215,787,950  Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180	repurchase)	(Date)		
Feb 18 2,574,700 1,786,445,770 Feb 19 3,126,500 2,214,214,650 Feb 20 4,665,600 3,354,868,580 Feb 23 4,341,600 3,152,602,100 Feb 24 5,386,500 3,888,404,100 Feb 25 4,200,900 3,050,647,130 Feb 26 2,479,200 1,800,610,090 Feb 27 3,023,200 2,215,787,950  Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180		Feb 16	2,457,100	1,673,227,080
Feb 19  3,126,500  2,214,214,650  Feb 20  4,665,600  3,354,868,580  Feb 23  4,341,600  3,152,602,100  Feb 24  5,386,500  3,888,404,100  Feb 25  4,200,900  3,050,647,130  Feb 26  2,479,200  1,800,610,090  Feb 27  3,023,200  2,215,787,950  Total  35,665,300  25,469,643,180  Aggregate shares repurchased as of the end of this reporting month  35,665,300  25,469,643,180		Feb 17	3,410,000	2,332,835,730
Feb 20		Feb 18	2,574,700	1,786,445,770
Feb 23			3,126,500	
Feb 24 5,386,500 3,888,404,100 Feb 25 4,200,900 3,050,647,130 Feb 26 2,479,200 1,800,610,090 Feb 27 3,023,200 2,215,787,950  Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180		Feb 20	4,665,600	3,354,868,580
Feb 25 4,200,900 3,050,647,130 Feb 26 2,479,200 1,800,610,090 Feb 27 3,023,200 2,215,787,950  Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180		Feb 23	4,341,600	3,152,602,100
Feb 26 2,479,200 1,800,610,090 Feb 27 3,023,200 2,215,787,950  Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180		Feb 24	5,386,500	3,888,404,100
Feb 27 3,023,200 2,215,787,950  Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180		Feb 25	4,200,900	3,050,647,130
Total 35,665,300 25,469,643,180  Aggregate shares repurchased as of the end of this reporting month 35,665,300 25,469,643,180		Feb 26	2,479,200	1,800,610,090
Aggregate shares repurchased as of the end of this reporting month  35,665,300 25,469,643,180		Feb 27	3,023,200	2,215,787,950
Aggregate shares repurchased as of the end of this reporting month  35,665,300 25,469,643,180				
reporting month 35,665,300 25,469,643,180	Total		35,665,300	25,469,643,180
	Aggregate shares repurchased as of the end of this			
Progress of share repurchase (%) 89.2 84.9	reporting month		35,665,300	25,469,643,180
	Progress of share repurchase (%)		89.2	84.9

## 2. Status of disposition

as of February 28, 2015 Number of shares disposed regate amount of in the reporting month disposition (JPY)

	in the reporti	ng montna	isposition (JPY)
Treasury shares disposed subject to procedures for issuar	nce		
of new shares	(Date)		
Subtotal			
Treasury shares canceled	(Date)		
Subtotal			
Treasury shares transferred upon merger, share exchange	or		
demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit	-		
shares )	(Date)		
	Feb 2	49	30,674
	Feb 9	46	29,044
	Feb 16	70	44,800
	Feb 27	70	50,477
			,
Subtotal		235	154,995
			,

as of February 28, 2015

Number of shares disposed ggregate amount of in the reporting month disposition (JPY)

	in the rep	orting month	disposition (JPY)
Other (exercise of stock acquisition rights)	(Date)		
	Feb 2	6,300	1,491,300
	Feb 3	289,900	7,727,900
	Feb 4	208,300	208,300
	Feb 5	151,800	1,097,800
	Feb 6	19,300	1,207,300
	Feb 9	9,800	9,800
	Feb 10	133,800	133,800
	Feb 12	274,900	274,900
	Feb 13	7,900	1,195,900
	Feb 16	125,000	3,153,000
	Feb 17	71,400	71,400
	Feb 18	380,100	380,100
	Feb 19	6,000	1,788,000
	Feb 20	28,600	3,205,100
	Feb 23	52,400	3,256,400
	Feb 24	26,300	2,107,300
	Feb 25	207,600	11,008,600
	Feb 26	79,100	6,959,100
	Feb 27	72,500	7,640,000
Subtotal		2,151,000	52,916,000
Total		2,151,235	53,070,995

# 3. Status of shares held in treasury

	as of February 28, 2015
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	219.304.597