NOMURA HOLDINGS INC Form 6-K November 13, 2012 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2012

# NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

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Indicate by	y check mark	whether the re	egistrant	files or wil	ll file	annual r	eports under	cover	Form 2	0-F o	Form	40-F	

Form 20-F x Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Information furnished on this form:

## **EXHIBIT**

# Exhibit Number

1. Nomura Finalizes Number and Exercise Price of Stock Options

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 13, 2012

By: /s/ Eiji Miura

Eiji Miura

Senior Managing Director

#### Nomura Finalizes Number and Exercise Price of Stock Options

Tokyo, November 13, 2012 Nomura Holdings, Inc. (the Company ) today announced that it has finalized the number and the exercise price of stock acquisition rights to be issued as stock options based on a resolution of its Executive Management Board held on October 26, 2012, as outlined below.

Stock Acquisition Rights No. 51

Total Number of Stock Acquisition Rights to be Issued

28,570

Grantees

2.

Total of 1,258 directors and employees of subsidiaries of the Company

3. Shares of Common Stock Under Stock Acquisition Rights

2,857,000 shares

Value of Assets Financed upon the Exercise of the Stock Acquisition Rights

29,800 yen per Stock Acquisition Right

298 yen per share

(Equal to the product of (i) the closing price of the common stock of the Company on the Tokyo Stock Exchange on the grant date (November 13, 2012) and (ii) 1.05 (any fraction of less than one (1) yen resulting from the calculation shall be rounded up to the nearest yen))

Total Value of Common Stock to be Granted (issue of new stocks or transfer of treasury stocks) Upon **Exercise of Stock Acquisition Rights** (issue of new shares or transfer of treasury shares)

851,386,000 yen

ends

### For further information please contact:

Name Company Telephone 81-3-3278-0591 Joey Wu Nomura Holdings, Inc.

Keiko Sugai Group Corporate Communications Dept.

#### Nomura

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs over 27,000 staff worldwide. Nomura s unique understanding of Asia enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (fixed income, equities, and investment banking). For further information about Nomura, please visit <a href="https://www.nomura.com">www.nomura.com</a>.