NOMURA HOLDINGS INC Form 6-K June 05, 2012 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of June 2012

# NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

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| Indicate b | y checl | k mark | whether | the re | gistrant | files of | or will | file | annual | reports | under | cove | Form | 20-F | or | Form 4 | 40- | F. |
|------------|---------|--------|---------|--------|----------|----------|---------|------|--------|---------|-------|------|------|------|----|--------|-----|----|
|            |         |        |         |        |          |          |         |      |        |         |       |      |      |      |    |        |     |    |

Form 20-F x Form 40-F "

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Information furnished on this form:

## **EXHIBIT**

## Exhibit Number

1. Nomura Finalizes Number of Stock Options

Date: June 5, 2012

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

By: /s/ Minoru Hatada Minoru Hatada

Senior Managing Director

## **Nomura Finalizes Number of Stock Options**

**Tokyo, June 5, 2012** Nomura Holdings, Inc. (the Company ) today announced that it has finalized the total number of stock acquisition rights and the number of grantees based on a resolution of its Executive Management Board held on May 16, 2012, as outlined below.

|  | Stock  | Stock  | Stock  | Stock   |  |  |
|--|--|--|--|---|--|--|
|  | Acquisition  | Acquisition  | Acquisition  | Acquisition   |  |  |
|  | Rights No. 44  | Rights No. 45  | Rights No. 46  | Rights No. 47   |  |  |
| Total Number of Stock Acquisition Rights to be Issued          | 136,589  | 136,290  | 135,963  | 53,756  |  |  |
| 2. Grantees  | Total of 1,119<br>directors, executive<br>officers and employees<br>of the Company and<br>subsidiaries of the<br>Company | Total of 1,119<br>directors, executive<br>officers and employees<br>of the Company and<br>subsidiaries of the<br>Company | Total of 1,119<br>directors, executive<br>officers and employees<br>of the Company and<br>subsidiaries of the<br>Company | Total of 514 directors, executive officers and employees of the Company and subsidiaries of the Company |  |  |
| 3. Shares of Common<br>Stock Under Stock<br>Acquisition Rights | 13,658,900 shares  | 13,629,000 shares  | 13,596,300 shares  | 5,375,600 shares  |  |  |

|  | Stock   | Stock   | Stock<br>Acquisition   |  |  |
|--|---|---|--|--|--|
|  | Acquisition   | Acquisition   |  |  |  |
|  | Rights No. 48   | Rights No. 49   | Rights No. 50  |  |  |
| Total Number of Stock Acquisition Rights to be Issued          | 53,657  | 19,827  | 19,811   |  |  |
| 2. Grantees  | Total of 514 directors, executive officers and employees of the Company and subsidiaries of the Company | Total of 58 employees of<br>the Company and directors,<br>executive officers and<br>employees of subsidiaries<br>of the Company | Total of 58 employees of<br>the Company and<br>directors, executive<br>officers and employees of<br>subsidiaries of the<br>Company |  |  |
| 3. Shares of Common Stock<br>Under Stock Acquisition<br>Rights | 5,365,700 shares  | 1,982,700 shares  | 1,981,100 shares   |  |  |

ends

#### For further information please contact:

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Nomura

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs over 27,000 staff worldwide. Nomura s unique understanding of Asia enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (fixed income, equities, and investment banking). For further information about Nomura, please visit <a href="https://www.nomura.com">www.nomura.com</a>.