NOMURA HOLDINGS INC Form 6-K June 07, 2011 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of June 2011

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

| (Address of principal executive offices) | | | | |
|---|--|--|--|--|
| Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F. | | | | |
| Form 20-F <u>X</u> Form 40-F | | | | |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): | | | | |
| | | | | |
| Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): | | | | |

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. Nomura Finalizes Number of Stock Options

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: June 7, 2011 By: /s/ Junko Nakagawa

Junko Nakagawa

Executive Managing Director

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Nomura Finalizes Number of Stock Options

Tokyo, June 7, 2011 Nomura Holdings, Inc. (the Company) today announced that it has finalized the total number of stock acquisition rights and the number of grantees based on a resolution of its Executive Management Board¹ held on May 19, 2011, as outlined below.

| | Stock Acquisition | Stock Acquisition | Stock Acquisition |
|--|------------------------------|------------------------------|------------------------------|
| Total Number of Stock Acquisition Rights to be Issued | Rights No. 40 | Rights No. 41 | Rights No. 42 |
| | 208,095 | 206,598 | 206,170 |
| 2. Grantees | Total of 755 directors, | Total of 755 directors, | Total of 754 directors, |
| | executive officers and | executive officers and | executive officers and |
| | employees of the Company and | employees of the Company and | employees of the Company and |
| | subsidiaries of the Company | subsidiaries of the Company | subsidiaries of the Company |
| 3. Shares of Common Stock Under Stock Acquisition Rights | 20,809,500 shares | 20,659,800 shares | 20,617,000 shares |

Ends

For further information please contact:

| Name | Company | Telephone |
|-------------|--------------------------------------|----------------|
| | | |
| | | |
| | | |
| Joey Wu | Nomura Holdings, Inc. | 81-3-3278-0591 |
| Keiko Sugai | Group Corporate Communications Dept. | |

Nomura

Notes to editors:

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs approximately 27,000 staff worldwide. Nomura s unique understanding of Asia enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (global markets and investment banking). For further information about Nomura, please visit www.nomura.com.

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¹ The Executive Management Board consists of the CEO, COO, Business Division CEOs and any other executive officers nominated by the CEO, and via Board of Directors resolutions decides important business matters including the issuance of stock acquisition rights.