**MOSAIC CO** Form 4 March 11, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Davis Gary N.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) MOSAIC CO [MOS]

(Check all applicable)

ATRIA CORPORATE CENTER,

**SUITE E490. 3033 CAMPUS DRIVE** 

3. Date of Earliest Transaction

(Month/Day/Year) 03/07/2014

Director 10% Owner X\_ Officer (give title Other (specify below)

Sr VP - Phosphate Operations

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PLYMOUTH, MN 55441

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

25,554 D

Common Stock

(City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Numl or Derivation Securitic Acquires or Disposition (D) (Instr. 3 and 5)	es ed (A) osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 15.45						<u>(1)</u>	08/04/2016	Common Stock	4,718
Stock Option (Right to Buy)	\$ 40.03						<u>(1)</u>	08/02/2017	Common Stock	10,429
Stock Option (Right to Buy)	\$ 127.21						<u>(1)</u>	07/31/2018	Common Stock	2,195
Stock Option (Right to Buy)	\$ 52.72						<u>(1)</u>	07/27/2019	Common Stock	4,507
Stock Option (Right to Buy)	\$ 44.93						<u>(1)</u>	07/27/2020	Common Stock	10,130
Stock Option (Right to Buy)	\$ 70.62						<u>(4)</u>	07/21/2021	Common Stock	6,460
Restricted Stock Units	\$ 0 (2)						07/21/2014	(3)	Common Stock	2,832
Stock Option (Right to Buy)	\$ 57.62						<u>(5)</u>	07/19/2022	Common Stock	10,198
Restricted Stock Units	\$ 0 (2)						07/19/2015	(3)	Common Stock	4,050
	\$ 54.03						<u>(6)</u>	07/18/2023		11,048

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Stock Option (Right to Buy)							Common Stock	
Restricted Stock Units	\$ 0 (2)				07/18/2016	(3)	Common Stock	4,319
Stock Option (Right to Buy)	\$ 49.73	03/07/2014	A	12,418	<u>(7)</u>	03/07/2024	Common Stock	12,418
Restricted Stock Units	\$ 0 (2)	03/07/2014	A	4,692	03/07/2017	<u>(3)</u>	Common Stock	4,692

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Davis Gary N. ATRIA CORPORATE CENTER, SUITE E490 3033 CAMPUS DRIVE PLYMOUTH, MN 55441			Sr VP - Phosphate Operations			

## **Signatures**

/s/Richard L. Mack, Attorney-in-Fact for Gary N.
Davis

03/11/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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