

Joy Catherine Frazier
 Form 4
 April 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Joy Catherine Frazier

2. Issuer Name and Ticker or Trading Symbol
 BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 850 DIXIE HIGHWAY
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 12/18/2012

____ Director
 ____ Officer (give title below)
 10% Owner
 ____ Other (specify below)

LOUISVILLE, KY 40210

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction(A) or Disposed of Code (Instr. 8)				4. Securities Acquired or Disposed of (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)				
Class A Common	12/18/2012		G	V	10,000	A	\$ 0	10,000	I	Alexander Joy
Class A Common	12/18/2012		G	V	5,000	A	\$ 0	5,000	I	Christopher Joy
Class A Common	12/18/2012		G	V	22,000	A	\$ 0	22,000	I	Caitlin Joy
Class A Common	12/18/2012		G	V	16,000	A	\$ 0	16,000	I	Charles Joy
Class A Common								190,326 ⁽¹⁾	D	

Edgar Filing: Joy Catherine Frazier - Form 4

Class A Common	23,184 ⁽²⁾	I	Spouse
Class A Common	5,922 ⁽³⁾	I	Caitlin Joy UTMA
Class A Common	10,548 ⁽⁴⁾	I	Charles Joy UTMA
Class A Common	22,824 ⁽⁵⁾	I	Christopher Joy UTMA
Class A Common	15,633 ⁽⁶⁾	I	Alexander Joy UTMA
Class A Common	8,480,881 ⁽⁷⁾	I	Avish Agincourt, LLC
Class A Common	56,334 ⁽⁸⁾	I	Annsley Thornton Trust
Class B Common	489,549 ⁽⁹⁾	D	
Class B Common	30,726 ⁽¹⁰⁾	I	Spouse
Class B Common	4,893 ⁽¹¹⁾	I	Caitlin Joy UTMA
Class B Common	8,895 ⁽¹²⁾	I	Charles Joy UTMA
Class B Common	20,773 ⁽¹³⁾	I	Christopher Joy UTMA
Class B Common	13,125 ⁽¹⁴⁾	I	Alexander Joy UTMA
Class B Common	4,756,912 ⁽¹⁵⁾	I	Avish Agincourt, LLC
Class B Common	41,046 ⁽¹⁶⁾	I	Annsley Thornton Trust
Class B Common	300 ⁽¹⁷⁾	I	Caitlin Joy IMA
Class B Common	300 ⁽¹⁷⁾	I	Charles Joy IMA
Class B Common	300 ⁽¹⁷⁾	I	Christopher Joy IMA
Class B Common	300 ⁽¹⁷⁾	I	Alexander Joy IMA

Edgar Filing: Joy Catherine Frazier - Form 4

Class B Common	339 ⁽¹⁸⁾	I	C.F. Joy Irrev. Trust FBO Caitlin Joy
Class B Common	339 ⁽¹⁸⁾	I	C.F. Joy Irrev. Trust FBO Charles Joy
Class B Common	339 ⁽¹⁸⁾	I	C.F. Joy Irrev. Trust FBO Christopher Joy
Class B Common	339 ⁽¹⁸⁾	I	C.F. Joy Irrev. Trust FBO Alexander Joy

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Joy Catherine Frazier
850 DIXIE HIGHWAY
LOUISVILLE, KY 40210

X

Signatures

Laura H. Pulliam, Attorney in Fact for Catherine
Frazier Joy

04/12/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 10, 2012, the Class A and Class B common stock of Brown-Forman Corporation split 3-2, resulting in the reporting person's acquisition of 63,442 additional shares of Class A common stock.
- (2) Includes 7,728 additional Class A shares of common stock issued in the August stock split.
- (3) Includes 1,974 additional Class A shares of common stock issued in the August stock split.
- (4) Includes 3,516 additional Class A shares of common stock issued in the August stock split.
- (5) Includes 7,608 additional Class A shares of common stock issued in the August stock split.
- (6) Includes 5,211 additional Class A shares of common stock issued in the August stock split.
- (7) Includes 2,826,960 additional Class A shares of common stock issued in the August stock split. The undersigned disclaims beneficial ownership of shares held by all entities set forth in this form except to the extent of her pecuniary interest therein.
- (8) Includes 18,778 additional Class A shares of common stock issued in the August stock split.
- (9) Includes 163,183 additional Class B shares of common stock issued in the August stock split.
- (10) Includes 10,242 additional Class B shares of common stock issued in the August stock split.
- (11) Includes 1,631 additional Class B shares of common stock issued in the August stock split.
- (12) Includes 2,965 additional Class B shares of common stock issued in the August stock split.
- (13) Includes 6,924 additional Class B shares of common stock issued in the August stock split.
- (14) Includes 4,375 additional Class B shares of common stock issued in the August stock split.
- (15) Includes 1,795,162 additional Class B shares of common stock issued in the August stock split. Also updated to reflect redemptions of other LLC members that did not change the reporting person's pecuniary interest.
- (16) Includes 13,682 additional Class B shares of common stock issued in the August stock split.
- (17) Includes 100 additional Class B shares of common stock issued in the August stock split.
- (18) Includes 105 additional Class B shares of common stock issued in the August stock split. Also updated to reflect dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.