

GONZALEZ RICHARD A

Form 4

August 08, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
GONZALEZ RICHARD A

(Last) (First) (Middle)

1 N. WAUKEGAN ROAD

(Street)

NORTH CHICAGO, IL 60064

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AbbVie Inc. [ABBV]

3. Date of Earliest Transaction
(Month/Day/Year)
08/07/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman of the Board and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, \$0.01 par value	08/07/2017		M	193,131	A \$ 51.42	469,623	D
Common stock, \$0.01 par value	08/07/2017		S	6,779	D \$ 71.005	462,844	D
Common stock, \$0.01 par value	08/07/2017		S	1,809	D \$ 71.025	461,035	D

Edgar Filing: GONZALEZ RICHARD A - Form 4

Common stock, \$0.01 par value	08/07/2017	S	100	D	\$ 71.055	460,935	D
Common stock, \$0.01 par value	08/07/2017	S	3	D	\$ 71.065	460,932	D
Common stock, \$0.01 par value	08/07/2017	S	6,200	D	\$ 71.05	454,732	D
Common stock, \$0.01 par value	08/07/2017	S	1,153	D	\$ 71.015	453,579	D
Common stock, \$0.01 par value	08/07/2017	S	1,800	D	\$ 71.06	451,779	D
Common stock, \$0.01 par value	08/07/2017	S	157,163	D	\$ 71	294,616	D
Common stock, \$0.01 par value	08/07/2017	S	1,100	D	\$ 71.045	293,516	D
Common stock, \$0.01 par value	08/07/2017	S	2,602	D	\$ 71.04	290,914	D
Common stock, \$0.01 par value	08/07/2017	S	3,158	D	\$ 71.02	287,756	D
Common stock, \$0.01 par value	08/07/2017	S	446	D	\$ 71.035	287,310	D
Common stock, \$0.01 par value	08/07/2017	S	9,618	D	\$ 71.01	277,692	D
	08/07/2017	S	1,200	D	\$ 71.03	276,492	D

Common
stock,
\$0.01 par
value

Common
stock,
\$0.01 par
value

Common
stock,
\$0.01 par
value

Common
stock,
\$0.01 par
value

4,600 ⁽¹⁾

I

By spouse

450 ⁽¹⁾

I

By
step-daughter450 ⁽¹⁾

I

By step-son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Option (right to buy) ⁽²⁾	\$ 51.42	08/07/2017		M		193,131		02/20/2017	02/19/2024	Common stock	193,131

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GONZALEZ RICHARD A 1 N. WAUKEGAN ROAD NORTH CHICAGO, IL 60064	X		Chairman of the Board and CEO	

Signatures

Steven L. Scrogham, attorney-in-fact for Richard A.
Gonzalez

08/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities.
- (2) Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3.

Remarks:

These transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.