Edgar Filing: ABBOTT LABORATORIES - Form 3

ABBOTT LABORATORIES

Form 3 July 12, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement ABBOTT LABORATORIES [ABT] A Bracken Sharon J (Month/Day/Year) 07/10/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 100 ABBOTT PARK ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) ABBOTT PARK. ILÂ 60064 Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common shares without par value 24,039 Common shares without par value 736 (1) I **Profit Sharing Trust** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

Edgar Filing: ABBOTT LABORATORIES - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|---------------------------|---------------------|--------------------|---------------|----------------------------------|----------|--|---|
| Option (right to buy) (2) | 07/01/2014 | 06/30/2023 | Common shares | 14,300 | \$ 35.2 | D | Â |
| Option (right to buy) (2) | 07/01/2015 | 06/30/2023 | Common shares | 14,300 | \$ 35.2 | D | Â |
| Option (right to buy) (2) | 07/01/2016 | 06/30/2023 | Common shares | 14,300 | \$ 35.2 | D | Â |
| Option (right to buy) (2) | 02/21/2015 | 02/20/2024 | Common shares | 14,775 | \$ 39.12 | D | Â |
| Option (right to buy) (2) | 02/21/2016 | 02/20/2024 | Common shares | 14,775 | \$ 39.12 | D | Â |
| Option (right to buy) (2) | 02/21/2017 | 02/20/2024 | Common shares | 14,775 | \$ 39.12 | D | Â |
| Option (right to buy) (2) | 02/20/2016 | 02/19/2025 | Common shares | 15,742 | \$ 47 | D | Â |
| Option (right to buy) (2) | 02/20/2017 | 02/19/2025 | Common shares | 15,742 | \$ 47 | D | Â |
| Option (right to buy) (2) | 02/20/2018 | 02/19/2025 | Common shares | 15,742 | \$ 47 | D | Â |
| Option (right to buy) (2) | 02/19/2017 | 02/18/2026 | Common shares | 25,000 | \$ 38.4 | D | Â |
| Option (right to buy) (2) | 02/19/2018 | 02/18/2026 | Common shares | 25,000 | \$ 38.4 | D | Â |
| Option (right to buy) (2) | 02/19/2019 | 02/18/2026 | Common shares | 25,000 | \$ 38.4 | D | Â |
| Option (right to buy) (2) | 02/17/2018 | 02/16/2027 | Common shares | 13,318 | \$ 44.4 | D | Â |
| Option (right to buy) (2) | 02/17/2019 | 02/16/2027 | Common shares | 13,317 | \$ 44.4 | D | Â |
| Option (right to buy) (2) | 02/17/2020 | 02/16/2027 | Common shares | 13,318 | \$ 44.4 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-----------------------|-------|--|--|
| . Programme and the same | Director | 10% Owner | Officer | Other | | |
| Bracken Sharon J 100 ABBOTT PARK ROAD ABBOTT PARK, IL 60064 | Â | Â | Senior Vice President | Â | | |

Reporting Owners 2

Edgar Filing: ABBOTT LABORATORIES - Form 3

Signatures

John A. Berry, by power of attorney for Sharon J.

Bracken

07/12/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance in the Abbott Laboratories Stock Retirement Trust as of July 10, 2017.
- (2) Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3