Edgar Filing: Advent Claymore Convertible Securities & Income Fund II - Form 4

Advent Claymore Convertible Securities & Income Fund II Form 4

February 13, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * ADVENT CAPITAL

MANAGEMENT /DE/

(Last) (First) (Middle)

1271 AVENUE OF THE AMERICAS, 45TH FLOOR

(Street)

(State)

02/10/2017

(Month/Day/Year)

(Zip)

Execution Date, if

(Month/Day/Year)

2. Transaction Date 2A. Deemed

NEW YORK, NY 10020

(City)

1. Title of

Security

(Instr. 3)

Common

Shares

2. Issuer Name and Ticker or Trading

Symbol Advent Claymore Convertible Securities & Income Fund II [AGC]

3. Date of Earliest Transaction

(Month/Day/Year) 02/10/2017

3.

Code

(Instr. 8)

Code V

P

4. If Amendment, Date Original

Filed(Month/Day/Year)

Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

Applicable Line)

Reported

Officer (give title

4. Securities Acquired (A) 5. Amount of Transactionr Disposed of (D)

(Instr. 3, 4 and 5) (A)

or (D) Amount 21,000

Price 6.2841

6. Securities Beneficially Owned Following

Ownership Form: Direct (D) or Indirect

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Investment Manager

6. Individual or Joint/Group Filing(Check

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

10% Owner _X_ Other (specify

Estimated average

burden hours per

Transaction(s) (Instr. 4) (Instr. 3 and 4)

521,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			ate	7. Title and Amount of Underlying Securities	nt of lying ities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene
	Derivative Security				Securities Acquired			(Instr.	3 and 4)		Owne Follo
					(A) or Disposed						Repo Trans
					of (D) (Instr. 3, 4, and 5)						(Instr
					4, and 3)				Amount		
						Date Exercisable	Expiration Date	Title	or Number of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ADVENT CAPITAL MANAGEMENT /DE/ 1271 AVENUE OF THE AMERICAS 45TH FLOOR NEW YORK, NY 10020

Investment Manager

Signatures

Advent Capital Management, LLC, by Mark E. Mathiasen Pursuant to a Power of Attorney

02/13/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2