

GARRETT DONALD F

Form 4

March 21, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
GARRETT DONALD F

2. Issuer Name **and** Ticker or Trading
Symbol

ETHAN ALLEN INTERIORS INC
[ETH]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

ETHAN ALLEN DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/19/2013

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)

VP Case Goods Manufacturing

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

DANBURY, CT 06811

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Stock Options (Right to Buy)	03/19/2013		M	2,000	A \$ 29.55	3,500	D
Stock Options (Right to Buy)	03/19/2013		S	2,000	D \$ 30.5495	1,500	D
Stock Options (Right to Buy)	03/19/2013		M	2,000	A \$ 17.6	3,500	D

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Stock Options (Right to Buy)	03/19/2013	S	2,000	D	\$ 30.5135	1,500	D
Stock Options (Right to Buy)	03/19/2013	M	1,875	A	\$ 11.74	3,375	D
Stock Options (Right to Buy)	03/19/2013	S	1,875	D	\$ 30.51	1,500	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options (Right to Buy)	\$ 29.55	03/19/2013		M	2,000	04/14/2004 04/14/2013	Common Stock	2,000
Stock Options (Right to Buy)	\$ 17.6	03/19/2013		M	2,000	11/05/2009 11/05/2018	Common Stock	2,000
Stock Options (Right to Buy)	\$ 11.74	03/19/2013		M	1,875	11/12/2010 11/12/2019	Common Stock	1,875

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GARRETT DONALD F ETHAN ALLEN DRIVE DANBURY, CT 06811				VP Case Goods Manufacturing

Signatures

Donald F.
Garrett

03/21/2013

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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