

JACOBS PAUL E
Form 3
September 23, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â JACOBS PAUL E

(Last) (First) (Middle)

C/O QUALCOMM
INCORPORATED,Â 5775
MOREHOUSE DR.

(Street)

SAN
DIEGO,Â CAÂ 92121-1714

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/23/2009

3. Issuer Name and Ticker or Trading Symbol
A123 SYSTEMS, INC. [AONE]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Date Exercisable Expiration Date Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: JACOBS PAUL E - Form 3

				Shares		or Indirect (1) (Instr. 5)	
Series A-1 Convertible Preferred Stock	Â (2)	Â (2)	Common Stock	1,925,000 (1)	\$ (2)	I	By QUALCOMM Incorporated
Series B Convertible Preferred Stock	Â (2)	Â (2)	Common Stock	1,237,799 (1)	\$ (2)	I	By QUALCOMM Incorporated
Series C Convertible Preferred Stock	Â (2)	Â (2)	Common Stock	1,012,543 (1)	\$ (2)	I	By QUALCOMM Incorporated
Series D Convertible Preferred Stock	Â (2)	Â (2)	Common Stock	850,283 (1)	\$ (2)	I	By QUALCOMM Incorporated
Series F Convertible Preferred Stock	Â (2)	Â (2)	Common Stock	326,239 (1)	\$ (2)	I	By QUALCOMM Incorporated

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JACOBS PAUL E C/O QUALCOMM INCORPORATED 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714	Â X	Â	Â	Â

Signatures

/s/ Eric J. Pyenson,
attorney-in-fact

09/23/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
- (2) Each share of preferred stock represented herein is immediately convertible and will automatically convert upon the closing of the issuer's initial public offering into one share of common stock of the issuer, and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.