Edgar Filing: ABIOMED INC - Form 4

October 06, 2005 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB MPROVAL Check this bax if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP of the subject to Section 17(a) of the Public Utility Holding Company Act of 1934, socion 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). State of the Public Utility Holding Company Act of 1934, Socion 17(a) of the Public Utility Holding Company Act of 1940. State of the Public Utility Holding Company Act of 1934, ABIOMED INC [ABMD] State of the Public Utility Holding Company Act of 1940. State of the Public Utility Holding Company Act of 1940. (Inst. (First) (Modul) 3. Lasser Name and Ticker or Trading Symbol State of tarliest Transaction (Month/Day/Year) State of tarliest Transaction (Month/Day/Year) (Check all applicable) (Lass) (First) (Modul) 3. Jate of tarliest Transaction (Month/Day/Year) State of tarliest Transaction (Month/Day/Year) -X_Differ (give all	ABIOMED I Form 4	NC											
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$\begin{array}{cccc} & (A) & Transaction(s) \\ & (Instr. 3 and 4) \\ \hline Common \\ Stock, \$.01 & 09/30/2005 \\ \end{array} \qquad A V \begin{array}{c} & 1,024 \\ & (5) \\ \hline & A \\ \hline & 8 \\ \hline & 56 \\ \hline & 27,074 \\ \hline & D \\ \hline \end{array}$											(Instr. 4)	(Instr. 4)	
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	Stock, \$.01	09/30/2005			А	v	1,024 (5)	А	\$ 8.56	27,074	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) <u>(1)</u>	\$ 9.05					04/05/2005 <u>(4)</u>	04/05/2014	Common Stock	100,000
Stock Option (right to buy) (2)	\$ 9.05					04/05/2005 <u>(4)</u>	04/05/2014	Common Stock	200,000
Stock Option (right to buy) (3)	\$ 9.05					04/05/2005 <u>(4)</u>	04/05/2014	Common Stock	100,000
Stock Option (right to buy) (2)	\$ 9.36					06/08/2006 <u>(4)</u>	06/08/2015	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MINOGUE MICHAEL R C/O ABIOMED, INC., 22 CHERRY HILL DRIVE DANVERS, MA 01923	Х		President, CEO, Chairman				
Signatures							
/s/Charles B. Haaser (attorney-in-fact)		10/06/200	05				
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 1998 Equity Incentive Plan.
- (2) Grant to reporting person of option to buy shares of Common Stock under the ABIOMED, Inc. 2000 Stock Incentive Plan.
- (3) Grant to reporting person of inducement option to buy shares of Common Stock under NASDAQ Marketplace Rule 4350. These options were granted outside of the Company's established stock option plans.
- (4) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (5) Shares of common stock were acquired by the reporting person through participation in the ABIOMED, Inc. Employee Stock Purchase Plan, qualified under Section 423 of the Internal Revenue Code.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.