Edgar Filing: HUBER GARY C - Form 4

HUBER GARY C	2									
Form 4										
April 15, 2019								0.45		
FORM 4	UNITED	статес	SECU	DITIES /			COMMISSIO	NT.	APPROVA	L
	UNITED	SIAIES		shington				N OMB Number:	3235-	0287
Check this box								Expires:	Januar	y 31, 2005
if no longer subject to Section 16. STATEMENT OF CHANGES					BENEFI RITIES	CIAL OV	Estimate	Estimated average burden hours per		
Form 4 or								response	·	0.5
obligations may continue. <i>See</i> Instruction 1(b).	<i>See</i> Instruction 30(h) of the Investment Company Act of 1940									
(Print or Type Respor	ises)									
1. Name and Address HUBER GARY		Person <u>*</u>	Symbol	er Name and RESOUF		-	5. Relationship Issuer			
(Last) (First) (I	Middle)		of Earliest T		L	(Cho	eck all applica	ble)	
2886 CARRIAG	, ,	,		Day/Year)	Tansaction		_X_ Director Officer (giv below)		0% Owner Other (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
COLORADO SP	RINGS, CC	0 80906					Form filed by Person	More than One	Reporting	
(City) (S	State)	(Zip)	Tab	le I - Non-l	Derivative S	Securities A	cquired, Disposed	of, or Benefic	ially Owned	1
	nsaction Date th/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securitie mAcquired (Disposed o (Instr. 3, 4 Amount	A) or of (D) and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)	Indirect	ıl
Reminder: Report on	a separate line	for each cla	ass of sec	urities bene	ficially own	ed directly o	or indirectly.			
					Persor inform require	as who res ation cont ed to respo /s a curre	pond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	Derivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		

	Derivative Security				or Disposed (D) (Instr. 3, 4, and 5)	of				
			Code	V	(A) (A	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 3.95	04/11/2019	Α		75,000		04/11/2019	04/11/2029	Common Stock	75,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HUBER GARY C 2886 CARRIAGE MANOR POINT COLORADO SPRINGS, CO 80906	Х					
Signatures						
Jessica M. Browne, as attorney-in-fact	04/	/15/2019				
**Signature of Reporting Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. order-top-width: 1; border-left-width: 0; border-right-width: 1; border-bottom-width: 1">10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	Director 10% Owner Officer		Other		
MARCIANO PAUL C/O GUESS?, INC. 1444 SOUTH ALAMEDA STREET LOS ANGELES, CA 90021	Х	Х	Vice Chairman & CEO			

Signatures

/s/ Jason T. Miller (attorney-in-fact)

09/17/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held by NRG Capital Holdings, LLC in accounts specifically allocated to trusts for the benefit of the reporting person and his minor children.
- (2) Reflects changes in form of indirect ownership that are exempt pursuant to Rule 16a-13 under the Securities Exchange Act of 1934 since there was no change in pecuniary interest.
- (3) Shares are held by Marciano Financial Holdings II, LLC in accounts specifically allocated to trusts for the benefit of the reporting person and his minor children.
- (4) Shares are held by the Paul Marciano Trust dated 2/20/86, a revocable trust of which the reporting person is the sole trustee and sole beneficiary and has the exclusive pecuniary interest.
- (5) Shares are held by JS Capital Holdings, LLC which is owned by the reporting person and three annuity trusts for his benefit. The reporting person has investment control over all of these shares.
- (6) Shares are held by JS GRAT, a grantor retained annuity trust of which the reporting person acts as investment advisor and has sole investment power.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.